

Calculating Certified Compilers for Non-Deterministic Languages

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MPC 2015

Overview

Goal: derive compiler from high-level specification

- ▶ by calculation
- ▶ formal
- ▶ systematic

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- ▶ formal
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This paper

- ▶ Challenges for non-deterministic languages
- ▶ proof system for non-determinism
- ▶ proof automation \rightsquigarrow Coq

Calculating Correct Compilers

It works like this:

1. write semantics of object language
2. formulate compiler correctness property
3. prove correctness property

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Output

- ▶ compiler implementation
- ▶ target language + virtual machine
- ▶ compiler correctness proof

Toy Example: Arithmetic Expressions

Syntax

data *Expr* = *Val Int* | *Add Expr Expr*

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Semantics

$$\frac{}{\text{Val } n \Downarrow n} \text{VAL} \qquad \frac{e_1 \Downarrow n_1 \quad e_2 \Downarrow n_2}{\text{Add } e_1 e_2 \Downarrow (n_1 + n_2)} \text{ADD}$$

The Setup

Stack-based VM

type *Stack* = [*Int*]

type *Conf* = (*Code*, *Stack*)

$\implies \subseteq \text{Conf} \times \text{Conf}$

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compiler is formulated in CPS

comp' :: *Expr* → *Code* → *Code*

comp' = ??

comp :: *Expr* → *Code*

comp *e* = *comp'* *e* []

Step 2: Compiler Correctness Property

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We prove by **induction** on e :

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$$\begin{array}{l} (c, n : s) \quad \xleftarrow{*} \quad \dots \\ \quad \quad \quad \xleftarrow{*} \quad \dots \\ \quad \quad \quad \xleftarrow{*} \quad \dots \end{array}$$

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Result:

1. definition $\text{comp}' e c = c'$

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Result:

1. definition $\text{comp}' e c = c'$
2. new rules for $\xRightarrow{*}$
3. new instructions

Let's Calculate!

$$e \Downarrow n \text{ implies } (\text{comp}' e \ c, s) \xRightarrow{*} (c, n : s)$$

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$Val\ m \Downarrow n$ implies $(comp'\ (Val\ m)\ c, s) \Longrightarrow^* (c, n : s)$

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$Val\ m \Downarrow n$ implies $(comp'\ (Val\ m)\ c,\ s) \xRightarrow{*} (c,\ n : s)$

$(c,\ n : s)$

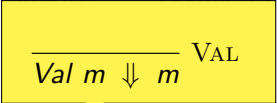
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Let's Calculate!

$Add\ e_1\ e_2\ \Downarrow\ n$ implies $(comp'\ (Add\ e_1\ e_2)\ c,\ s) \Longrightarrow^* (c,\ n:s)$

Induction Hypotheses

$e_i\ \Downarrow\ n_i$ implies $(comp'\ e_i\ c',\ s') \Longrightarrow^* (c',\ n_i : s')$

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$$\text{Add } e_1 \ e_2 \Downarrow n \quad \frac{e_1 \Downarrow n_1 \quad e_2 \Downarrow n_2}{\text{Add } e_1 \ e_2 \Downarrow (n_1 + n_2)} \text{ ADD} \quad (c, s) \xRightarrow{*} (c, n:s)$$

$(c, n : s)$
= { by ADD }
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The Result

data *Instr = PUSH Int | ADD*

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$(\text{PUSH } m : c, s) \Longrightarrow (c, m : s)$ (VM-Push)

$(\text{ADD} : c, n_2 : n_1 : s) \Longrightarrow (c, (n_1 + n_2) : s)$ (VM-Add)

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$e \Downarrow n$ implies $(\text{comp}' e c, s) \Longrightarrow^* (c, n : s)$

Non-determinism

What about non-determinism?

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Compiler "correctness" property

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This is only the completeness property!

What about soundness?

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What about soundness?

We need to prove soundness and completeness **in one go!**

A Holistic View

“ $\xRightarrow{*}$ ” provides focused view

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Characteristics

1. \Rightarrow works on **sets** of configurations
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- } Hutton & Wright
JFP 2007

Definition of \Rightarrow

$S \Rightarrow T$ iff

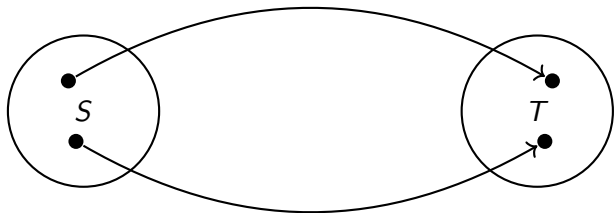
1. $\forall s \in S \quad \exists t \in T : s \xRightarrow{*} t$, and

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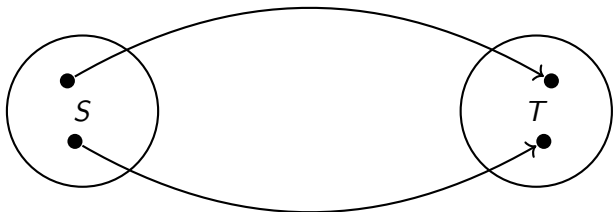


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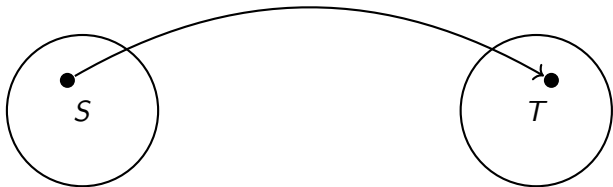
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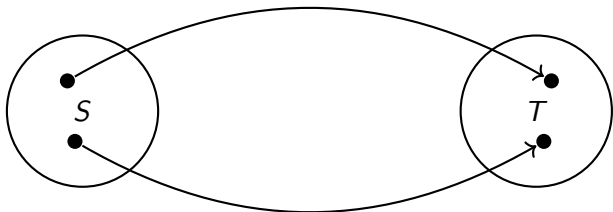
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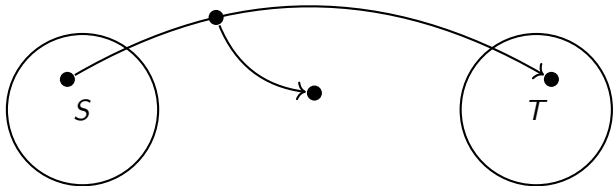
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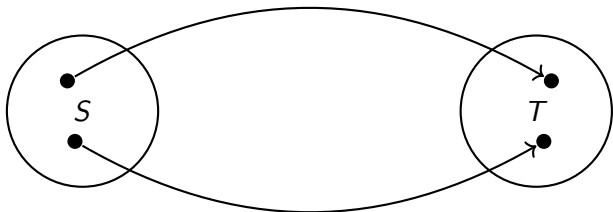
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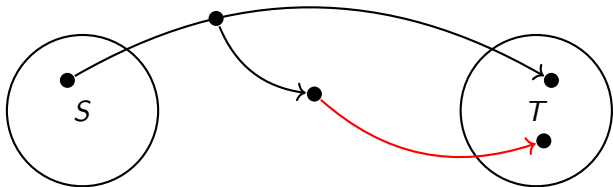
Definition of \Rightarrow

$S \Rightarrow T$ iff

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2. $\forall s \in S$: **every** execution from s goes through T



Proof System for \Rightarrow

$$\frac{}{S \Rightarrow S} \text{REFL} \qquad \frac{S \Rightarrow T \quad T \Rightarrow U}{S \Rightarrow U} \text{TRANS} \qquad \frac{S \equiv T}{S \Rightarrow T} \text{IFF}$$
$$\frac{S \Rightarrow T \quad S' \Rightarrow T'}{S \cup S' \Rightarrow T \cup T'} \text{UNION}$$
$$\frac{P \rightarrow C \Rightarrow D \quad P \rightarrow C \triangleleft \{D \mid P\}}{\{C \mid P\} \Rightarrow \{D \mid P\}} \text{STEP}$$

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Toy Example: Arithmetic Expressions + Random Syntax

data *Expr* = *Val Int* | *Add Expr Expr* | *Rnd Expr*

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Semantics

$$\frac{}{Val\ n \Downarrow n} \text{VAL} \qquad \frac{e_1 \Downarrow n_1 \quad e_2 \Downarrow n_2}{Add\ e_1\ e_2 \Downarrow (n_1 + n_2)} \text{ADD}$$
$$\frac{e \Downarrow n \quad 0 \leq m \leq |n|}{Rnd\ e \Downarrow m} \text{RND}$$

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Compiler Correctness Property

$$\forall e\ c\ s : \{ (comp' e\ c, s) \} \Rightarrow \{ (c, n : s) \mid e \Downarrow n \}$$

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Compiler Correctness Property

$$\forall e\ c\ P : \{(comp' e\ c, s) \mid P\ s\} \Rightarrow \{(c, n : s) \mid e \Downarrow n \wedge P\ s\}$$

Calculate!

$$\{(comp' \ e \ c, s) \mid P \ s\} \Rightarrow \{(c, n : s) \mid e \ \Downarrow \ n \wedge P \ s\}$$

Calculate!

$$\{(comp' (Val m) c, s) \mid P s\} \Rightarrow \{(c, n : s) \mid (Val m) \Downarrow n \wedge P s\}$$

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Calculate!

$$\{(comp' (Val m) c s) \mid P s\} \Rightarrow \{(c n : s) \mid (Val m) \Downarrow n \wedge P s\}$$

$$\frac{}{Val m \Downarrow m} \text{ VAL}$$

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Calculate!

$$\begin{aligned} & \{(c, n : s) \mid \text{Add } e_1 e_2 \Downarrow n \wedge P s\} \\ \equiv & \{ \text{by ADD} \} \\ & \{(c, (n_1 + n_2) : s) \mid e_1 \Downarrow n_1 \wedge e_2 \Downarrow n_2 \wedge P s\} \\ \Leftarrow & \{ \text{define VM-ADD} \} \\ & \{(ADD : c, n_2 : n_1 : s) \mid e_1 \Downarrow n_1 \wedge e_2 \Downarrow n_2 \wedge P s\} \\ \equiv & \{ \text{move existential quantifier} \} \\ & \{(ADD : c, n_2 : s') \mid e_2 \Downarrow n_2 \wedge (\exists s n_1, e_1 \Downarrow n_1 \wedge s' = n_1 : s \wedge P s)\} \\ \Leftarrow & \{ \text{induction hypothesis for } e_2 \} \\ & \{(comp' e_2 (ADD : c), s) \mid \exists s' n_1, e_1 \Downarrow n_1 \wedge s = n_1 : s' \wedge P s'\} \\ \equiv & \{ \text{move existential quantifier} \} \\ & \{(comp' e_2 (ADD : c), n_1 : s) \mid e_1 \Downarrow n_1 \wedge P s\} \\ \Leftarrow & \{ \text{induction hypothesis for } e_1 \} \\ & \{(comp' e_1 (comp' e_2 (ADD : c)), s) \mid P s\} \\ \equiv & \{ \text{define } comp' (Add e_1 e_2) c = \dots \} \\ & \{(comp' (Add e_1 e_2) c, s) \mid P s\} \end{aligned}$$

Calculate!

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Induction Hypothesis

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$$\{(comp' (Rnd\ e)\ c, s) \mid P\ s\} \Rightarrow \{(c, m : s) \mid (Rnd\ e) \Downarrow m \wedge P\ s\}$$

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$$\begin{aligned} & \{(c, m : s) \mid Rnd\ e \Downarrow m \wedge P\ s\} \\ \equiv & \{ \text{by RND} \} \\ & \{(c, m : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P\ s\} \end{aligned}$$

Induction Hypothesis

$$\{(comp' e\ c', s) \mid P'\ s\} \Rightarrow \{(c', m : s) \mid e \Downarrow m \wedge P'\ s\}$$

Calculate!

$$\{(comp' (Rnd\ e) \frac{e \Downarrow n \quad 0 \leq m \leq |n|}{Rnd\ e \Downarrow m} RND) \Downarrow m \wedge P\ s\}$$

$$\begin{aligned} & \{(c, m : s) \mid Rnd\ e \Downarrow m \wedge P\ s\} \\ \equiv & \{ \text{by RND} \} \\ & \{(c, m : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P\ s\} \end{aligned}$$

Induction Hypothesis

$$\{(comp' e\ c', s) \mid P' s\} \Rightarrow \{(c', m : s) \mid e \Downarrow m \wedge P' s\}$$

Calculate!

$$\{(comp' (Rnd\ e)\ c, s) \mid P\ s\} \Rightarrow \{(c, m : s) \mid (Rnd\ e) \Downarrow m \wedge P\ s\}$$

$$\{(c, m : s) \mid Rnd\ e \Downarrow m \wedge P\ s\}$$

$$\equiv \{ \text{by RND} \}$$

$$\{(c, m : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P\ s\}$$

$$\Leftarrow \{ \text{define VM-RND} \}$$

$$\{(RND : c, n : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P\ s\}$$

Induction Hypothesis

$$\{(comp' e\ c', s) \mid P'\ s\} \Rightarrow \{(c', m : s) \mid e \Downarrow m \wedge P'\ s\}$$

Calculate!

$$\{(comp' (Rnd e) c, s) \mid P s\} \Rightarrow \{(c, m : s) \mid (Rnd e) \Downarrow m \wedge P s\}$$

$$\begin{aligned} & \{(c, m : s) \mid Rnd e \Downarrow m \wedge P c\} \\ \equiv & \{ \text{by RND } (RND : c, n : s) \Rightarrow (c, m : s) \\ & \{(c, m : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P s\} \\ \Leftarrow & \{ \text{define VM-RND } \} \\ & \{(RND : c, n : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P s\} \end{aligned}$$

Induction Hypothesis

$$\{(comp' e c', s) \mid P' s\} \Rightarrow \{(c', m : s) \mid e \Downarrow m \wedge P' s\}$$

Calculate!

$$\{(comp' (Rnd\ e)\ c, s) \mid P\ s\} \Rightarrow \{(c, m : s) \mid (Rnd\ e) \Downarrow m \wedge P\ s\}$$

$$\begin{aligned} & \{(c, m : s) \mid Rnd\ e \Downarrow m \wedge P\ s\} \\ \equiv & \{ \text{by RND} \quad (RND : c, n : s) \Rightarrow (c, m : s) \text{ if } 0 \leq m \leq |n| \} \\ & \{(c, m : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P\ s\} \\ \Leftarrow & \{ \text{define VM-RND} \} \\ & \{(RND : c, n : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P\ s\} \end{aligned}$$

Induction Hypothesis

$$\{(comp' e\ c', s) \mid P'\ s\} \Rightarrow \{(c', m : s) \mid e \Downarrow m \wedge P'\ s\}$$

Calculate!

$$\{(comp' (Rnd e) c, s) \mid P s\} \Rightarrow \{(c, m : s) \mid (Rnd e) \Downarrow m \wedge P s\}$$

$$\begin{aligned} & \{(c, m : s) \mid Rnd e \Downarrow m \wedge P s\} \\ \equiv & \{ \text{by RND} \} \\ & \{(c, m : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P s\} \\ \Leftarrow & \{ \text{define VM-RND} \} \\ & \{(RND : c, n : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P s\} \\ \equiv & \{ \text{eliminate tautology } \exists m, 0 \leq m \leq |n| \} \\ & \{(RND : c, n : s) \mid e \Downarrow n \wedge P s\} \end{aligned}$$

Induction Hypothesis

$$\{(comp' e c', s) \mid P' s\} \Rightarrow \{(c', m : s) \mid e \Downarrow m \wedge P' s\}$$

Calculate!

$$\{(comp' (Rnd\ e)\ c, s) \mid P\ s\} \Rightarrow \{(c, m : s) \mid (Rnd\ e) \Downarrow m \wedge P\ s\}$$

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Induction Hypothesis

$$\{(comp' e\ c', s) \mid P'\ s\} \Rightarrow \{(c', m : s) \mid e \Downarrow m \wedge P'\ s\}$$

Calculate!

$$\{(comp' (Rnd e) c, s) \mid P s\} \Rightarrow \{(c, m : s) \mid (Rnd e) \Downarrow m \wedge P s\}$$

$$\begin{aligned} & \{(c, m : s) \mid Rnd e \Downarrow m \wedge P s\} \\ \equiv & \{ \text{by RND} \} \\ & \{(c, m : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P s\} \\ \Leftarrow & \{ \text{define VM-RND} \} \\ & \{(RND : c, n : s) \mid e \Downarrow n \wedge 0 \leq m \leq |n| \wedge P s\} \\ \equiv & \{ \text{eliminate tautology } \exists m, 0 \leq m \leq |n| \} \\ & \{(RND : c, n : s) \mid e \Downarrow n \wedge P s\} \\ \Leftarrow & \{ \text{induction hypothesis for } e \} \\ & \{(comp' e (RND : c), s) \mid P s\} \\ \equiv & \{ \text{define } comp' (Rnd e) c = RND : c \} \\ & \{(comp' (Rnd e) c, s) \mid P s\} \end{aligned}$$

Induction Hypothesis

$$\{(comp' e c', s) \mid P' s\} \Rightarrow \{(c', m : s) \mid e \Downarrow m \wedge P' s\}$$

The Result

data *Instr* = *PUSH Int* | *ADD* | *RND*

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comp' (*Val m*) *c* = *PUSH m* : *c*

comp' (*Add e₁ e₂*) *c* = *comp'* *e₁* (*comp'* *e₂* (*ADD* : *c*))

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comp' (*Rnd x*) *c* = *comp'* *x* (*RND* : *c*)

(*PUSH m* : *c*, *s*) ⇒ (*c*, *m* : *s*) (VM-Push)

(*ADD* : *c*, *n₂* : *n₁* : *s*) ⇒ (*c*, (*n₁* + *n₂*) : *s*) (VM-Add)

(*RND* :: *c*, *n* :: *s*) ⇒ (*c*, *m* :: *s*) if $0 \leq m \leq |n|$ (VM-RND)

The Result

data *Instr* = *PUSH Int* | *ADD* | *RND*

comp' :: *Expr* → *Code* → *Code*

comp' (*Val m*) *c* = *PUSH m* : *c*

comp' (*Add e₁ e₂*) *c* = *comp'* *e₁* (*comp'* *e₂* (*ADD* : *c*))

comp' (*Rnd x*) *c* = *comp'* *x* (*RND* : *c*)

(*PUSH m* : *c*, *s*) ⇒ (*c*, *m* : *s*) (VM-Push)

(*ADD* : *c*, *n₂* : *n₁* : *s*) ⇒ (*c*, (*n₁* + *n₂*) : *s*) (VM-Add)

(*RND* :: *c*, *n* :: *s*) ⇒ (*c*, *m* :: *s*) if $0 \leq m \leq |n|$ (VM-RND)

$\forall e c s : \{(comp' e c, s)\} \Rightarrow \{(c, n : s) \mid e \Downarrow n\}$

Concluding Remarks

Implementation in Coq

- ▶ Verified proof rules
- ▶ Proof search for `STEP` rule
- ▶ Syntax close to informal notation
- ▶ available at: <http://j.mp/CompCalc>

Theorem correctness : forall e P c,
 $\{s, \langle \text{comp}' e c, s \rangle \mid P s\} = |> \{s n, \langle c, n :: s \rangle \mid e \Downarrow n \wedge P s\}$.

Proof.

induction e; intros.

begin

$(\{s n', \langle c, n' :: s \rangle \mid \text{Val } n \Downarrow n' \wedge P s\})$.
 = { by_eval }
 $(\{s, \langle c, n :: s \rangle \mid P s\})$.
 <== { apply vm_push }
 $(\{s, \langle \text{PUSH } n :: c, s \rangle \mid P s\})$.
 [].

begin

$(\{s n, \langle c, n :: s \rangle \mid \text{Add } e1 e2 \Downarrow n \wedge P s\})$.
 = { by_eval }
 $(\{s n m, \langle c, (n + m) :: s \rangle \mid e1 \Downarrow n \wedge e2 \Downarrow m \wedge P s\})$.
 <== { apply vm_add }
 $(\{s n m, \langle \text{ADD} :: c, m :: n :: s \rangle \mid e1 \Downarrow n \wedge e2 \Downarrow m \wedge P s\})$.
 = { eauto }
 $(\{s' m, \langle \text{ADD} :: c, m :: s' \rangle \mid e2 \Downarrow m$
 $\wedge (\text{exists } s n, e1 \Downarrow n \wedge s' = n :: s \wedge P s)\})$.
 <|= { apply IHe2 }

Concluding Remarks

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Concluding Remarks

Implementation in Coq

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Future Work

- ▶ Concurrency
- ▶ Abstraction vs. full details
- ▶ Register machines

Calculating Certified Compilers for Non-Deterministic Languages

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Coq source code available at: <http://j.mp/CompCalc>