



Faculty of Science



# Infinitary Term Graph Rewriting

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University of Copenhagen  
Department of Computer Science

TCS and PAM Seminar  
VU University Amsterdam  
February 24, 2012

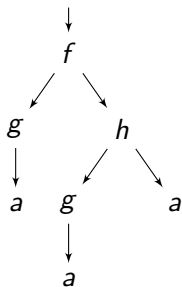


# From Terms to Term Graphs

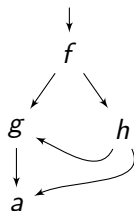
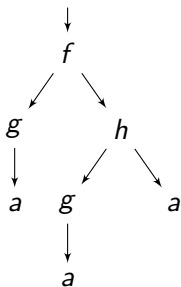
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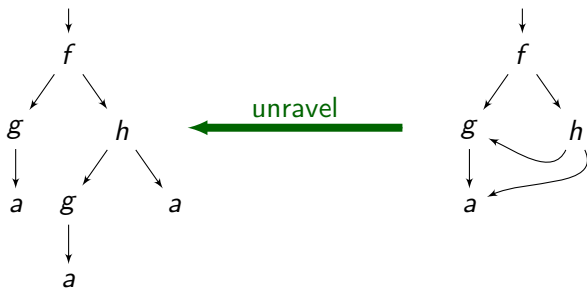

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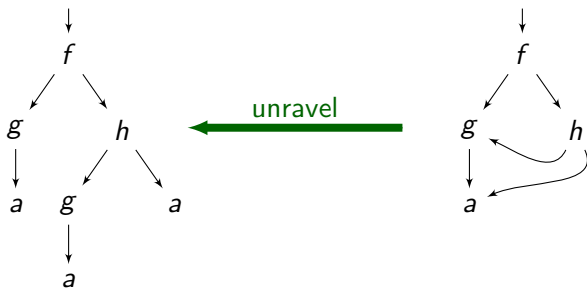


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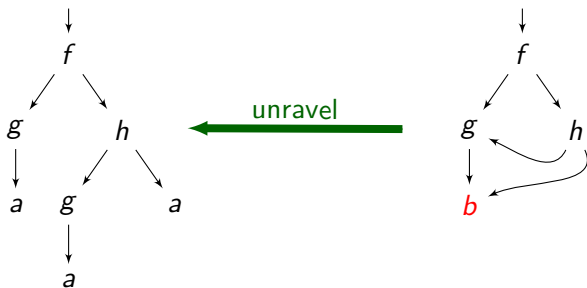


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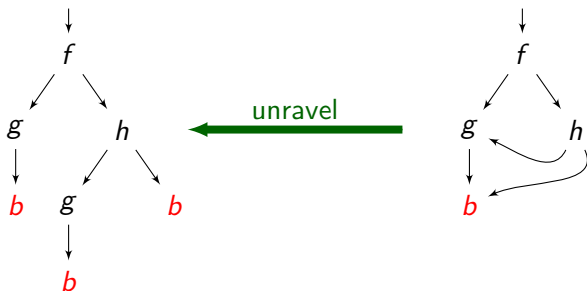

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 $a \rightarrow b$ 


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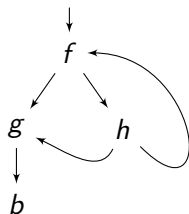
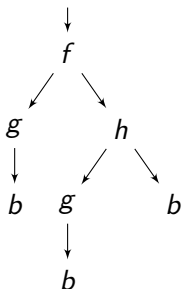

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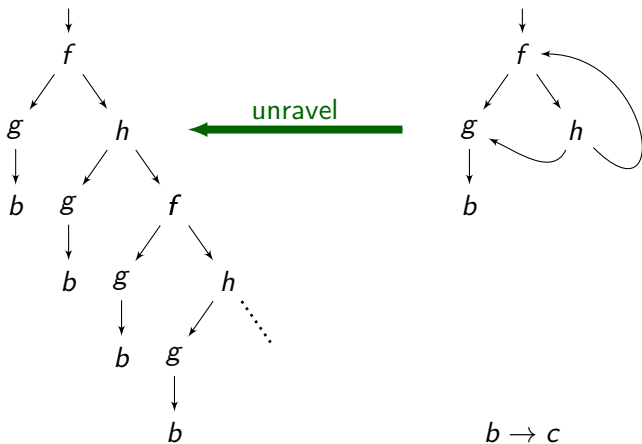



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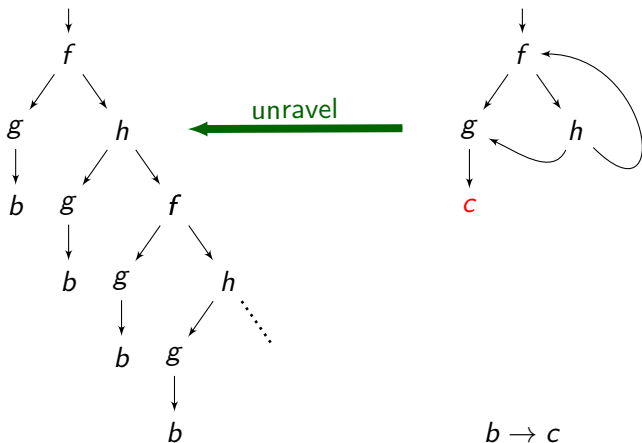




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# Goals

## What is this about?

- finding appropriate notions of **converging term graph reductions**
- generalising convergence for term reductions



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## Infinitary term graph rewriting – what is it for?

- **common formalism** to study correspondences between infinitary term rewriting and finitary term graph rewriting
- infinitary term graph rewriting to model **lazy evaluation**
  - ▶ infinitary term rewriting only covers non-strictness
  - ▶ however: lazy evaluation = non-strictness + **sharing**
- towards infinitary **lambda calculi with letrec**
  - ▶ Ariola & Blom. *Skew confluence and the lambda calculus with letrec*.
  - ▶ the calculus is **non-confluent**
  - ▶ but there is a notion of **infinite normal forms**



# Outline

- 1 Introduction
  - Background
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  - Obstacles
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  - Metric Approach
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  - Metric vs. Partial Order Approach
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- 4 Bonus Material
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# Obstacles

## What is the an appropriate notion of convergence on term graph?

- It should **generalise convergence on terms**.
  - ▶ **But**: there are many quite different generalisations.
  - ▶ Most important issue: How to deal with **sharing**?
- It should simulate infinitary term rewriting in a sound & complete manner.



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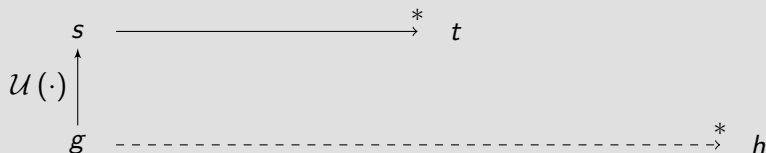
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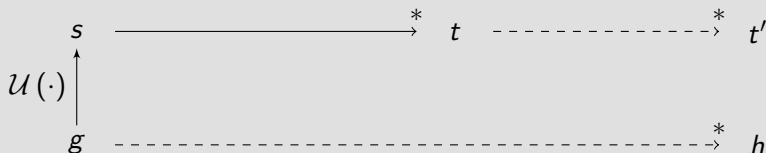
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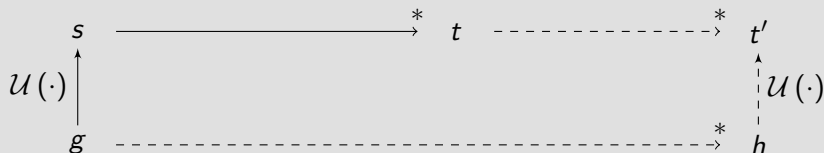
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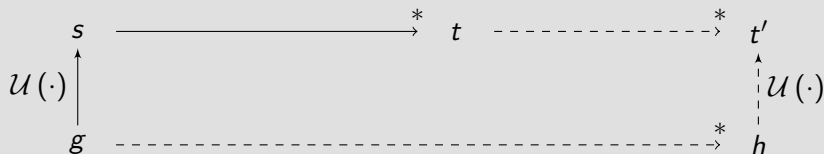
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- For infinitary term graph rewriting even this property breaks!

## Completeness of Infinitary Term Graph Rewriting?

We have a rule  $\underline{n}(x, y) \rightarrow \underline{n+1}(x, y)$  for each  $n \in \mathbb{N}$ .

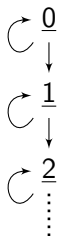
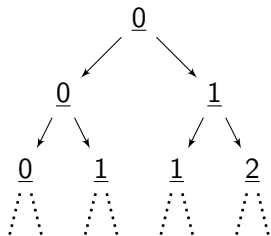
[Kennaway et al., 1994]





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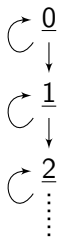
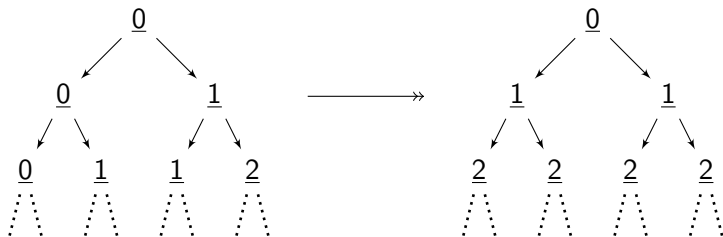


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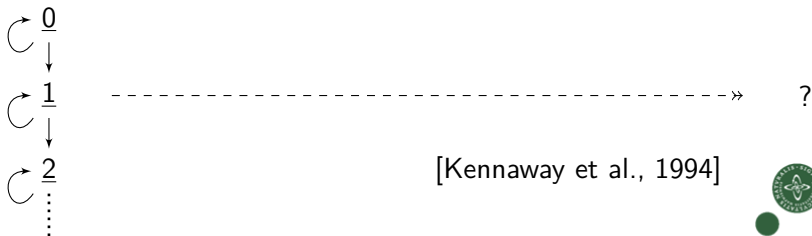
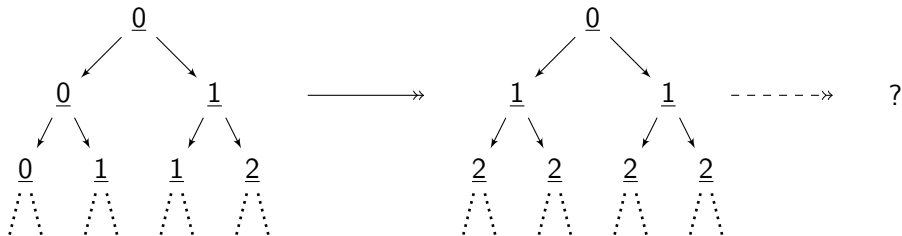


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# Metric Infinitary Term Rewriting

## Complete metric on terms

- terms are endowed with a **complete metric** in order to **formalise the convergence** of infinite reductions.
- metric distance between terms:

$$d(s, t) = 2^{-\text{sim}(s,t)}$$

$\text{sim}(s, t) =$  **minimum** depth  $d$  s.t.  $s$  and  $t$  **differ at depth  $d$**



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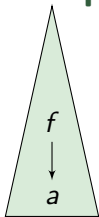
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## Strong Convergence via redex depth

Also the **depth of redexes** has to tend to infinity.

## Example: Weakly but not Strongly Converging

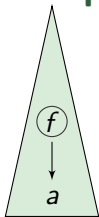


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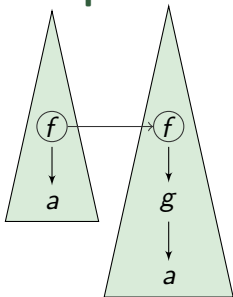
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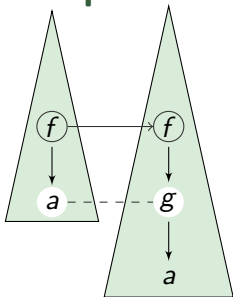
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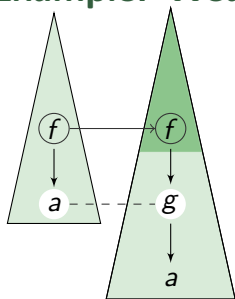
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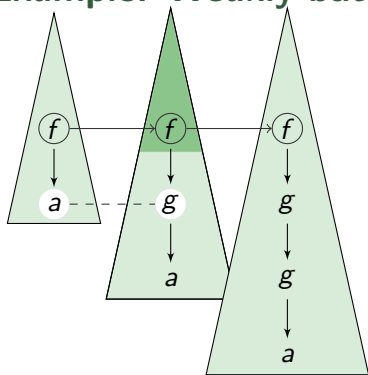
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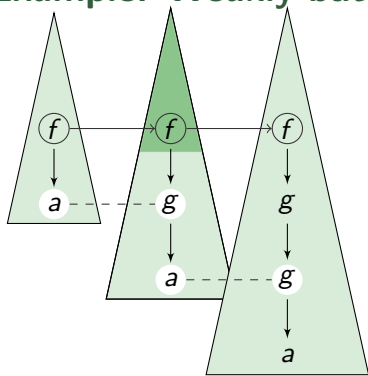
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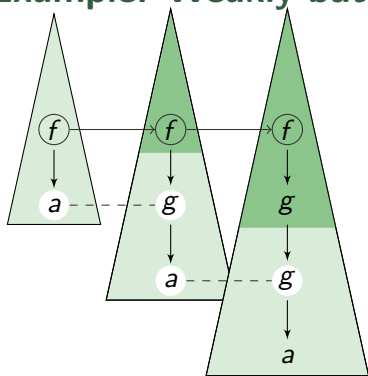
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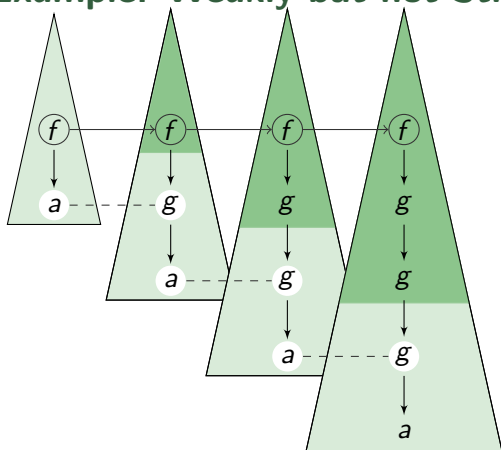
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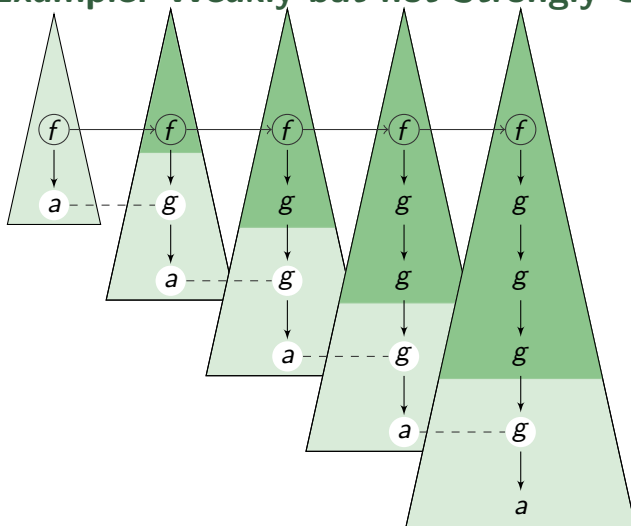


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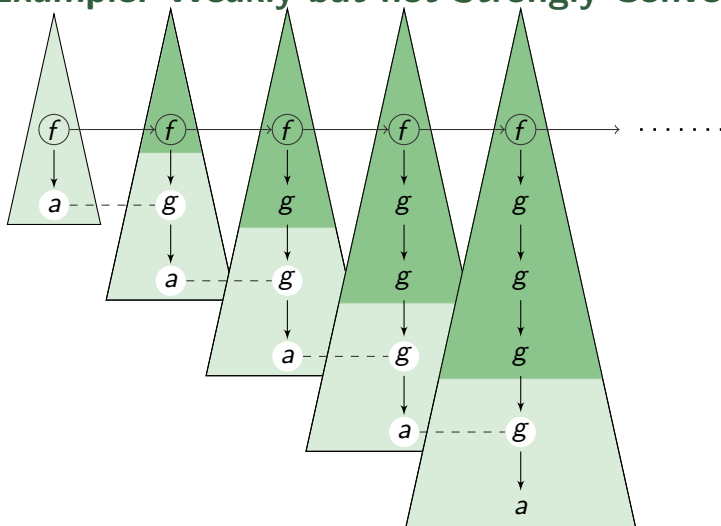
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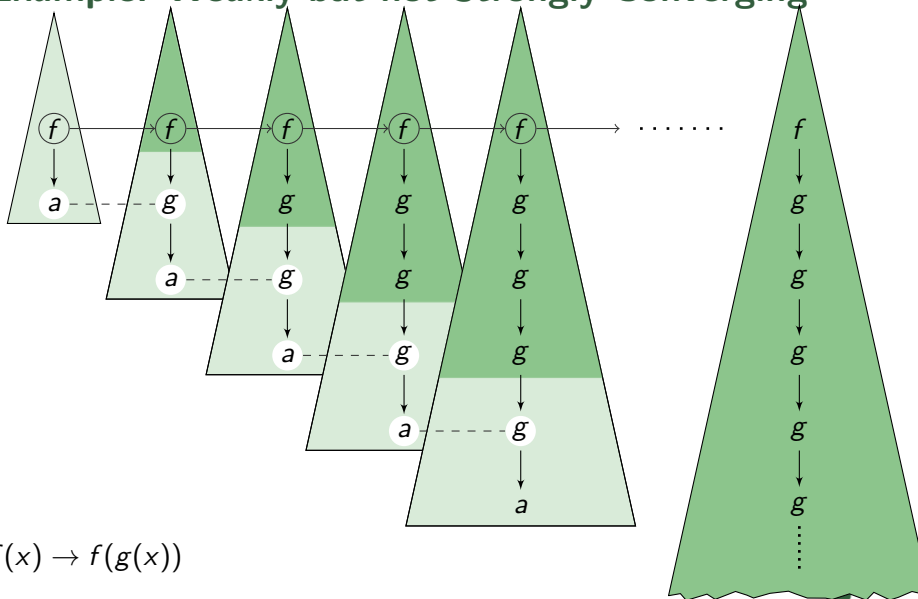
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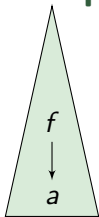
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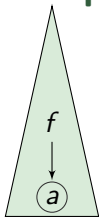
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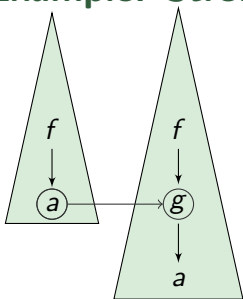
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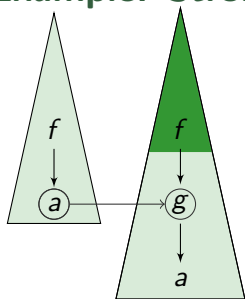
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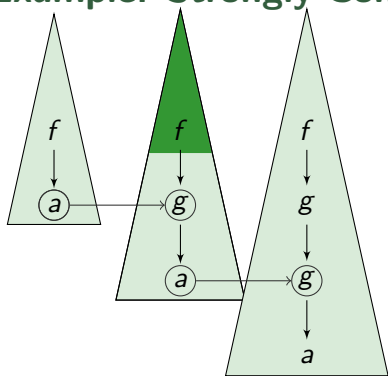
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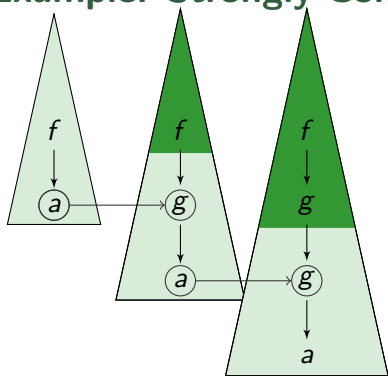


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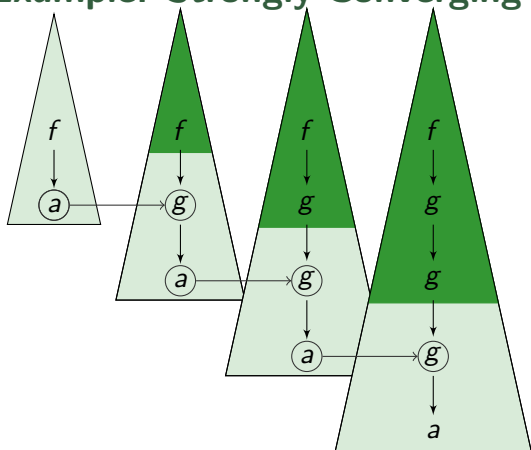
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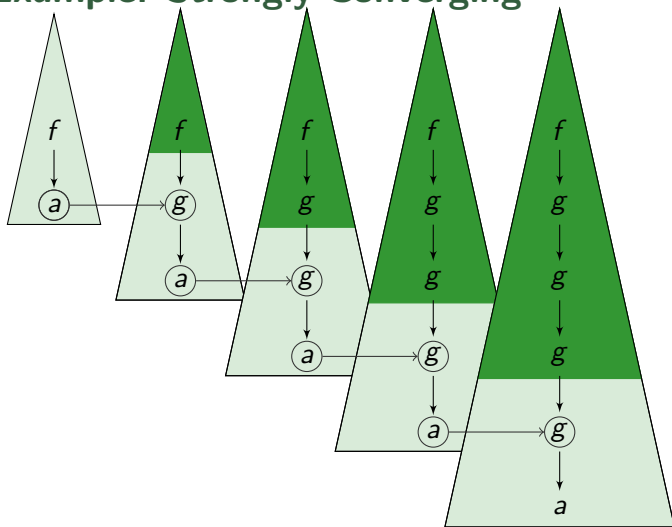
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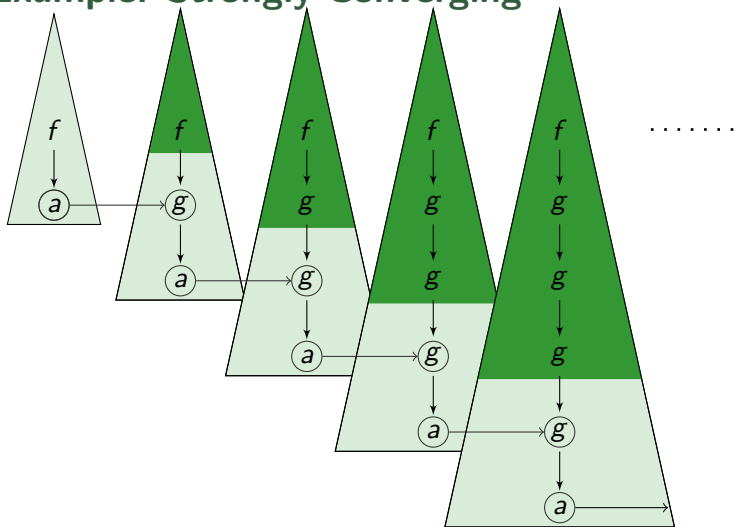
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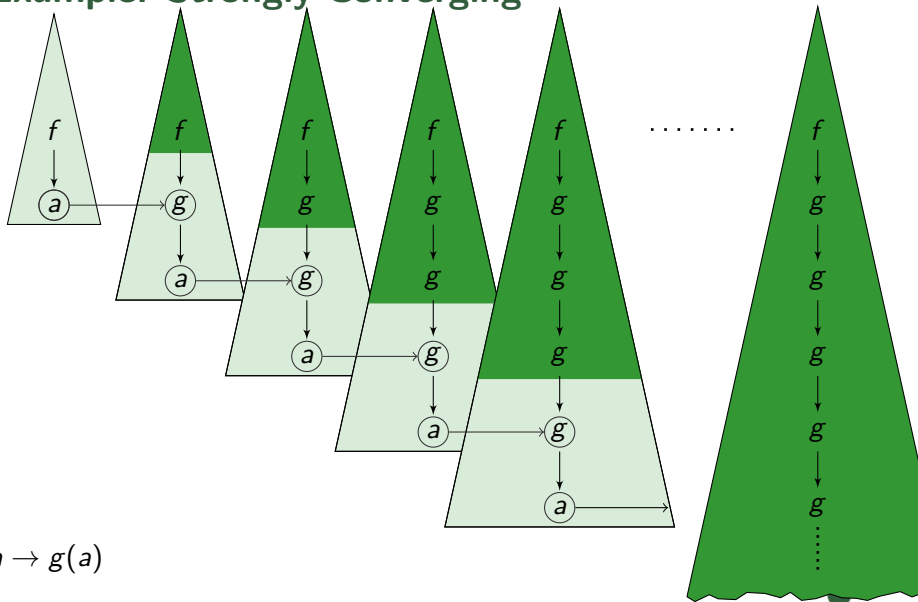
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# Towards a Metric on Term Graphs

We want to generalise the metric on terms

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$\text{sim}(s, t) =$  **minimum** depth  $d$  s.t.  $s$  and  $t$  **differ at depth  $d$**

Alternative characterisation of  $\text{sim}(s, t)$  via truncation

**Truncation**  $t|d$  of a term  $t$  at depth  $d$ :

$$t|0 = \perp$$

$$f(t_1, \dots, t_k)|d + 1 = f(t_1|d, \dots, t_k|d)$$

Then  $\text{sim}(s, t) =$  maximum depth  $d$  s.t.  $s|d = t|d$ .



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## Depth of a node

= length of a shortest path from the root to the node.



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## Truncation of term graphs

The truncation  $g \dagger d$  is obtained from  $g$  by

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## The simple metric on term graphs

$$\mathbf{d}_{\dagger}(g, h) = 2^{-\text{sim}_{\dagger}(g, h)}$$

Where  $\text{sim}_{\dagger}(g, h) =$  maximum depth  $d$  s.t.  $g \dagger d \cong h \dagger d$ .

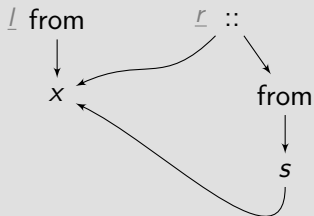


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$$\text{from}(x) \rightarrow x :: \text{from}(s(x))$$

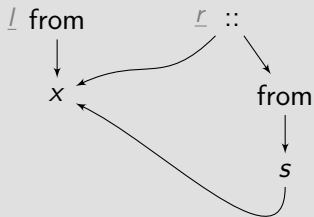

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Term graph rule that  
unravels to  
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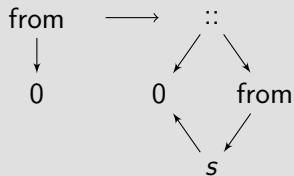
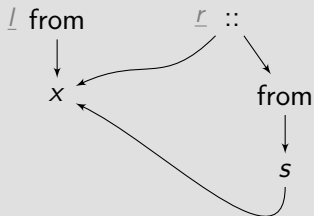
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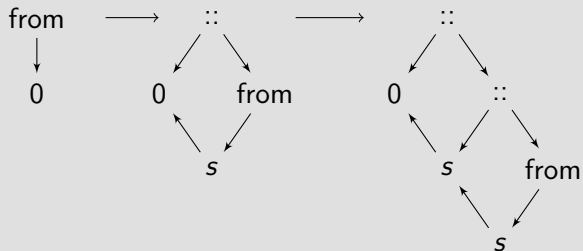
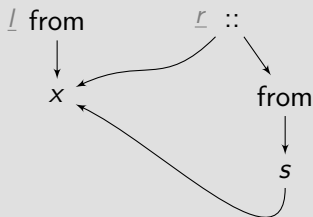
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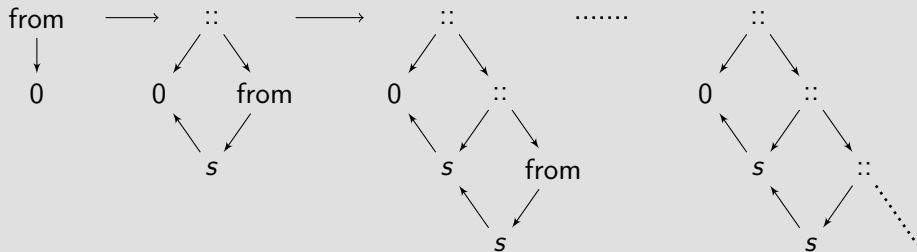
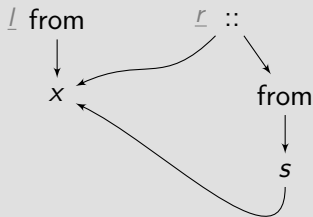
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# Properties of the Metric Space

- $\mathbf{d}_\dagger$  coincides with  $\mathbf{d}$  on  $\mathcal{T}^\infty(\Sigma)$ .
- $(\mathcal{G}_C^\infty(\Sigma), \mathbf{d}_\dagger)$  is a **complete metric space**.
- $(\mathcal{G}_C^\infty(\Sigma), \mathbf{d}_\dagger)$  is the **metric completion** of  $(\mathcal{G}_C(\Sigma), \mathbf{d}_\dagger)$ .
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Diagram illustrating the preservation of limits by unravelling. The left side of the equation,  $\mathcal{U} \left( \lim_{\iota \rightarrow \alpha} g_i \right)$ , is associated with the metric space  $(\mathcal{G}_C^\infty(\Sigma), \mathbf{d}_\dagger)$ . The right side,  $\lim_{\iota \rightarrow \beta} \mathcal{U}(g_i)$ , is associated with the metric space  $(\mathcal{T}^\infty(\Sigma), \mathbf{d})$ . Yellow arrows point from the boxes below to the corresponding limit expressions in the equation.



# Outline

- 1 Introduction
  - Background
  - Goals
  - Obstacles
- 2 Modes of Convergence on Term Graphs
  - Metric Approach
  - **Partial Order Approach**
- 3 Infinitary Term Graph Rewriting
  - Metric vs. Partial Order Approach
  - Soundness & Completeness Properties
- 4 Bonus Material
  - Other Approaches to Convergence



# Partial Order Infinitary Term Rewriting

## Partial order on terms

- **partial terms**: terms with additional constant  $\perp$  (read as “undefined”)
- partial order  $\leq_{\perp}$  reads as: “is less defined than”
- $\leq_{\perp}$  is a **complete semilattice** (= cpo + glbs of non-empty sets)



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term obtained by replacing  
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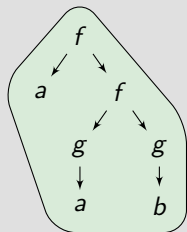
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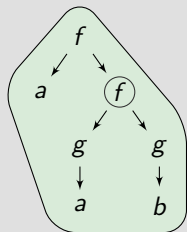
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Reduction for  $f(x, y) \rightarrow f(y, x)$



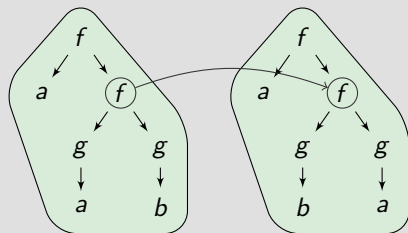
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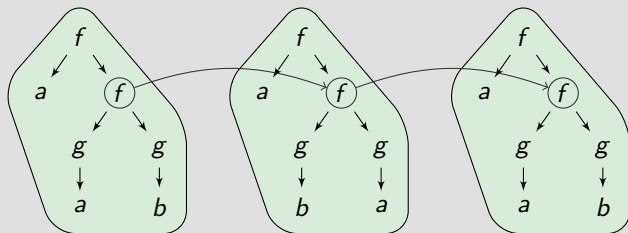
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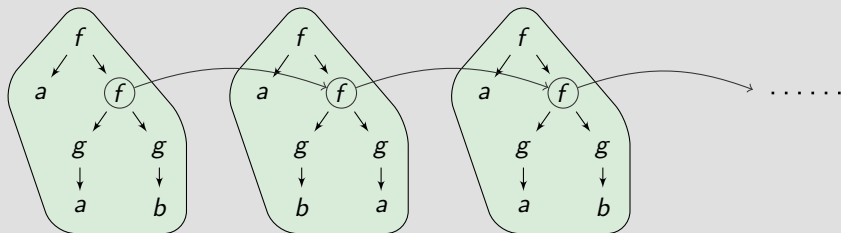
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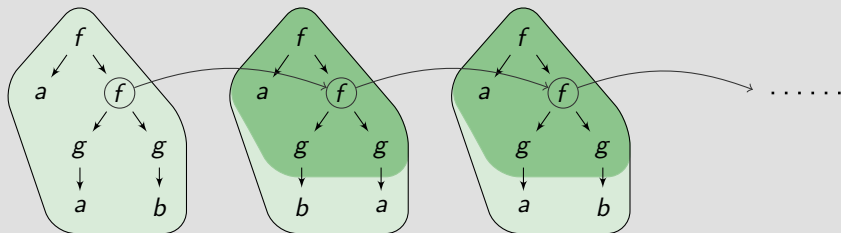
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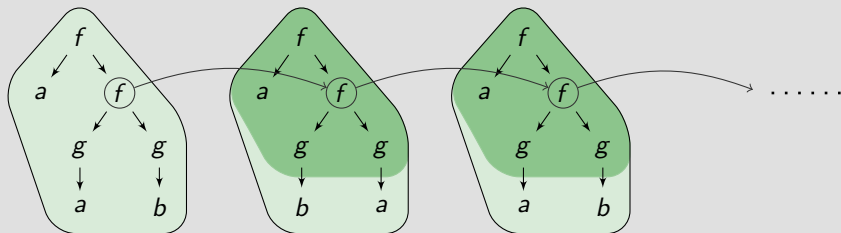
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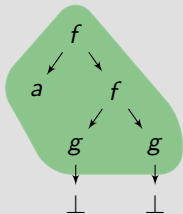


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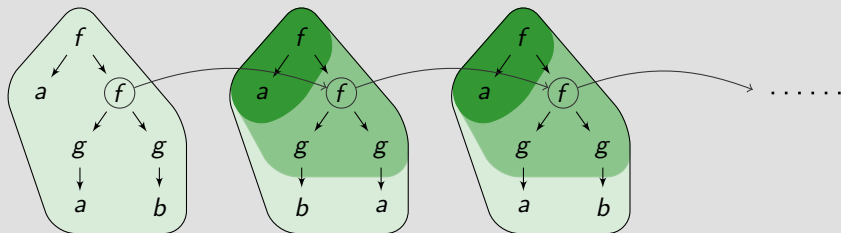


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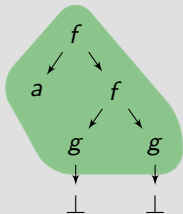


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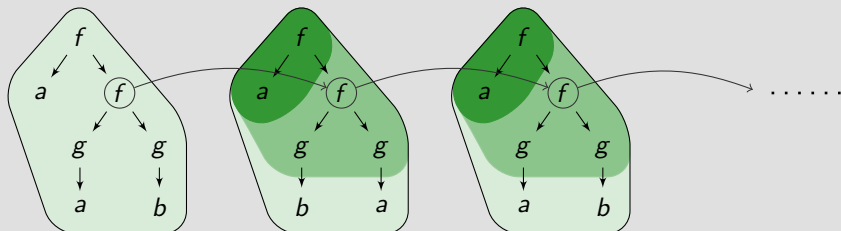
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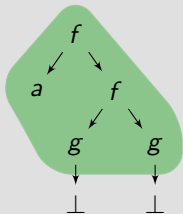


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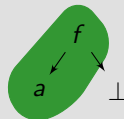
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Weak convergence



Strong convergence



# Partial-Order Convergence vs. Metric Convergence

Theorem (total  $p$ -convergence =  $m$ -convergence)

For every reduction  $S$  in a TRS the following equivalences hold:

- $S: s \xrightarrow{p} t$  in  $\mathcal{T}^\infty(\Sigma)$     iff     $S: s \xrightarrow{m} t$ .    (weak convergence)



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## Theorem (normalisation & confluence)

Every orthogonal TRS is *infinitarily normalising* and *infinitarily confluent* w.r.t. strong  $p$ -convergence.



# A Partial Order on Term Graphs – How?

## Specialise on terms

- Consider terms as **term trees** (i.e. term graphs with tree structure)
- How to define the partial order  $\leq_{\perp}$  on term trees?
- We need a means to substitute ' $\perp$ 's.



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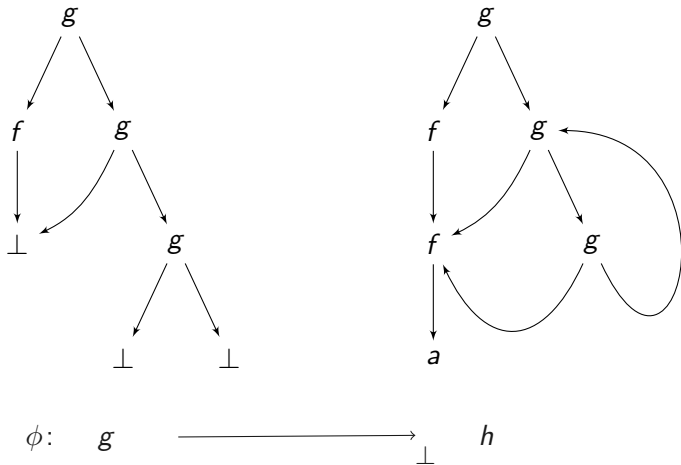
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## $\perp$ -homomorphisms $\phi: g \rightarrow_{\perp} h$

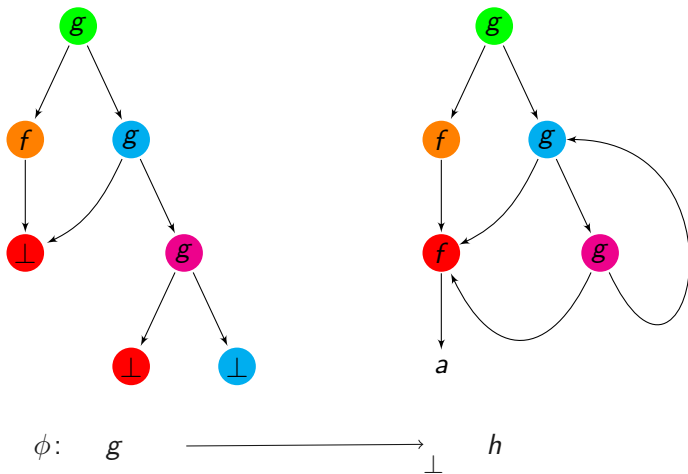
- homomorphism condition suspended on  $\perp$ -nodes
- allow mapping of  **$\perp$ -nodes to arbitrary nodes**
- same mechanism that formalises matching in term graph rewriting



# A $\perp$ -Homomorphism



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## $\perp$ -Homomorphisms as a Partial Order

Proposition ( $\perp$ -homomorphisms characterise  $\leq_{\perp}$  on terms)

For all  $s, t \in \mathcal{T}^{\infty}(\Sigma_{\perp})$ :  $s \leq_{\perp} t$  iff  $\exists \phi: s \rightarrow_{\perp} t$



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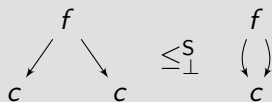
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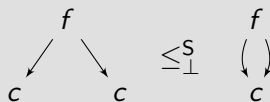
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- introduces **sharing**
- total term graphs not necessarily **maximal** w.r.t.  $\leq_{\perp}^S$



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# Partial Order Convergence on Term Graphs

## Convergence

- Weak conv.: **limit inferior** of the **term graphs** along the reduction.
- Strong conv.: **limit inferior** of the **contexts** along the reduction.



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## Context

Term graph obtained by relabelling the root node of the redex with  $\perp$  (and removing all nodes that become unreachable).



# Partial Order Convergence on Term Graphs

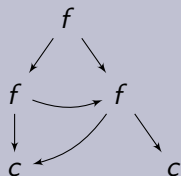
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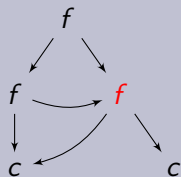
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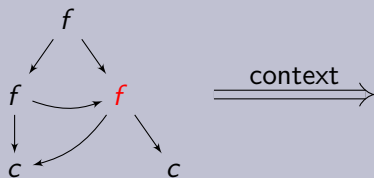
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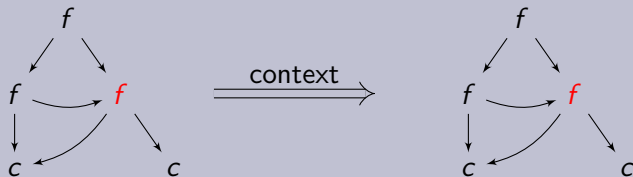
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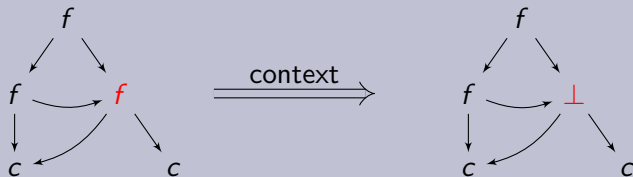
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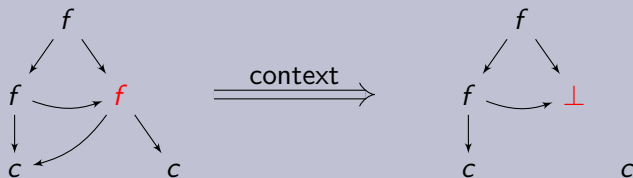
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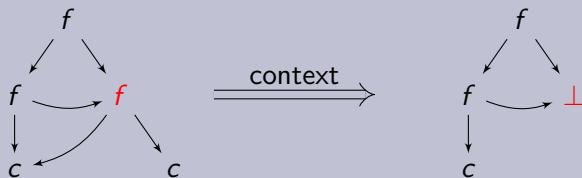
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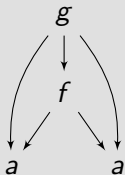
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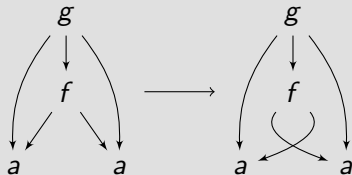
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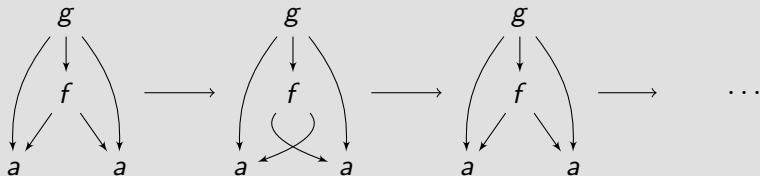
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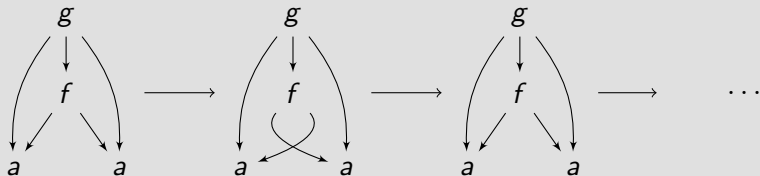
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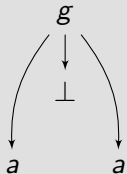


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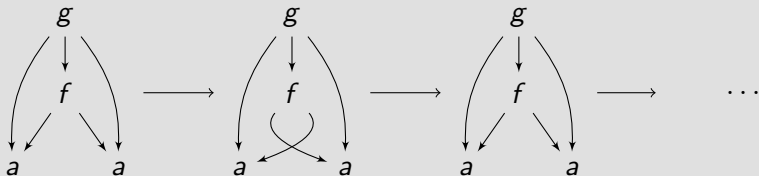


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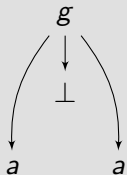


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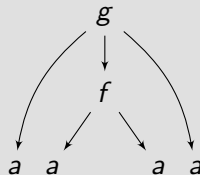
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Weak convergence



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# Metric vs. Partial Order Approach – Weak Conv.

Recall the situation on terms

For every reduction  $S$  in a TRS

$$S: s \xrightarrow{p} t \text{ in } \mathcal{T}^\infty(\Sigma) \quad \iff \quad S: s \xrightarrow{m} t.$$



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For every reduction  $S$  in a **TRS**

$$S: s \xrightarrow{p} t \text{ in } \mathcal{T}^\infty(\Sigma) \quad \iff \quad S: s \xrightarrow{m} t.$$

On term graphs

For every reduction  $S$  in a **GRS**

$$S: s \xrightarrow{p} t \text{ in } \mathcal{G}^\infty(\Sigma) \quad \begin{array}{c} ? \\ \longleftarrow \\ \longrightarrow \end{array} \quad S: s \xrightarrow{m} t.$$



# Metric vs. Partial Order Approach – Weak Conv.

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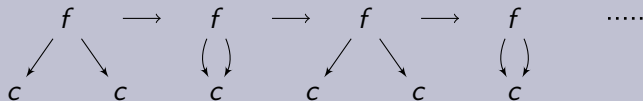
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Counterexample



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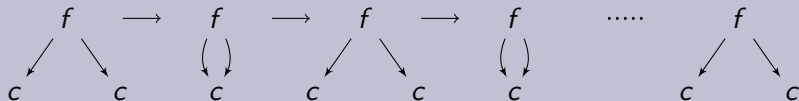
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# Metric vs. Partial Order Approach – Strong Conv.

Recall the situation on terms

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# Outline

- 1 Introduction
  - Background
  - Goals
  - Obstacles
- 2 Modes of Convergence on Term Graphs
  - Metric Approach
  - Partial Order Approach
- 3 **Infinitary Term Graph Rewriting**
  - Metric vs. Partial Order Approach
  - **Soundness & Completeness Properties**
- 4 Bonus Material
  - Other Approaches to Convergence



# Soundness – Metric Convergence

Theorem (Kennaway et al., 1994)

- *Given: a step  $g \rightarrow_n h$  in a left-linear, left-finite GRS  $\mathcal{R}$ .*



## Soundness – Metric Convergence

### Theorem (Kennaway et al., 1994)

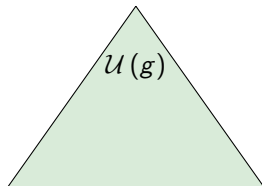
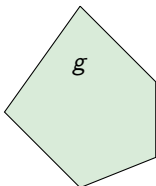
- *Given: a step  $g \rightarrow_n h$  in a left-linear, left-finite GRS  $\mathcal{R}$ .*
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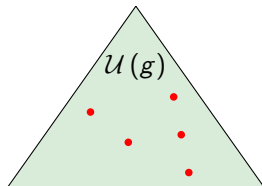
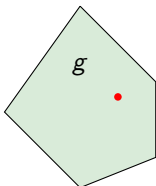
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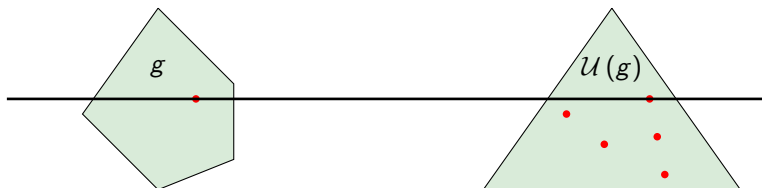
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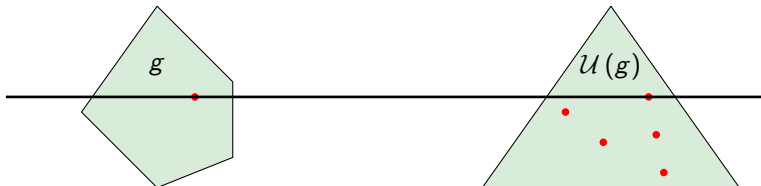
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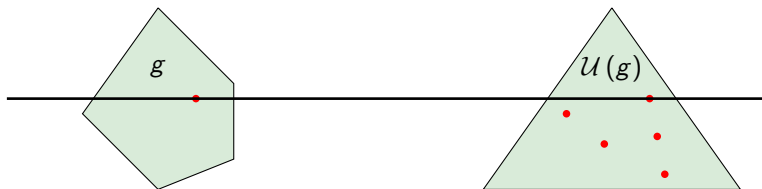
### Theorem (Soundness)

For every left-linear, left-finite GRS  $\mathcal{R}$  we have

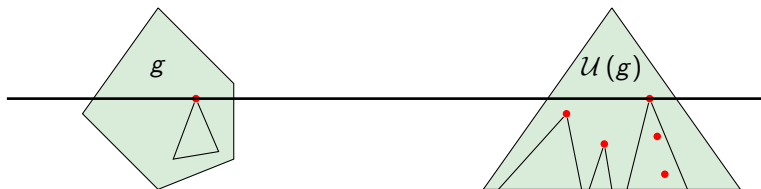
$$g \xrightarrow{m}_{\mathcal{R}} h \quad \Longrightarrow \quad \mathcal{U}(g) \xrightarrow{m}_{\mathcal{U}(\mathcal{R})} \mathcal{U}(h).$$



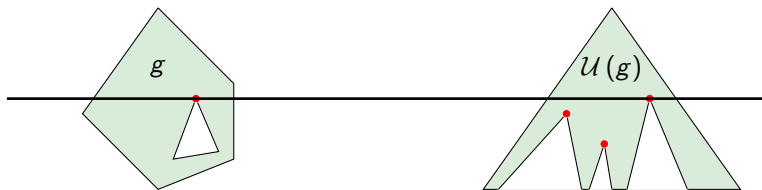
# Soundness – Partial Order Convergence



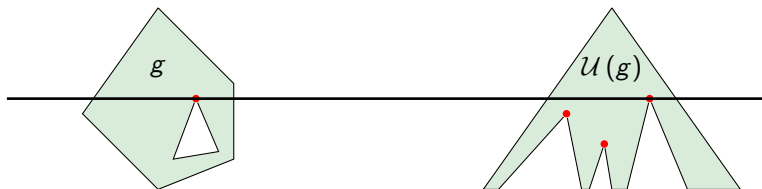
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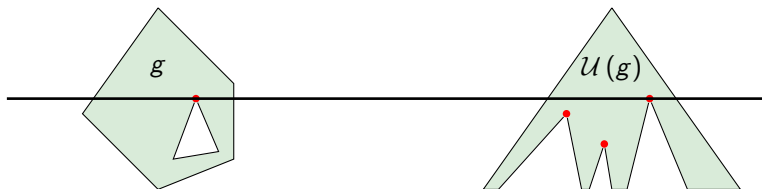


## Proposition

- Given: a step  $g \rightarrow_c h$  in a left-linear, left-finite GRS  $\mathcal{R}$ .
- Then:  $\mathcal{U}(g) \xrightarrow{P} \mathcal{U}(\mathcal{R}) \mathcal{U}(h)$  and  $\mathcal{U}(c) = \prod_{l < \alpha} c_l$



## Soundness – Partial Order Convergence



### Proposition

- Given: a step  $g \rightarrow_c h$  in a left-linear, left-finite GRS  $\mathcal{R}$ .
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Theorem (Kennaway et al., 1994)

For any orthogonal, left-finite, almost non-collapsing GRS  $\mathcal{R}$ , we have

$$\begin{array}{ccc}
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For any orthogonal, left-finite GRS  $\mathcal{R}$ , we have

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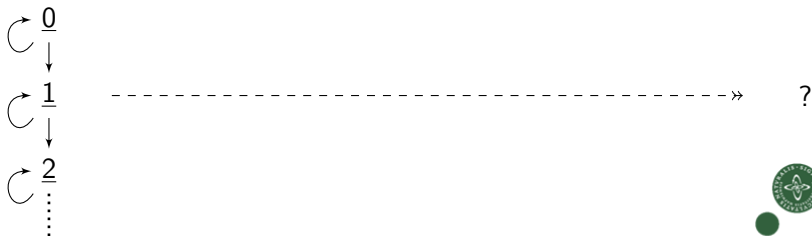
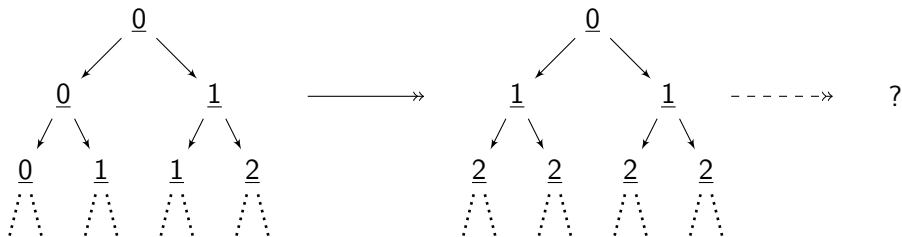
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# Failure of Completeness for Metric Convergence

We have a rule  $\underline{n}(x, y) \rightarrow \underline{n+1}(x, y)$  for each  $n \in \mathbb{N}$ .



# Completeness for Partial Order Convergence

## Theorem (Infinitary normalisation)

*For each term graph  $g$ , there is a reduction  $g \xrightarrow{P} h$  to a normal form  $h$ .*



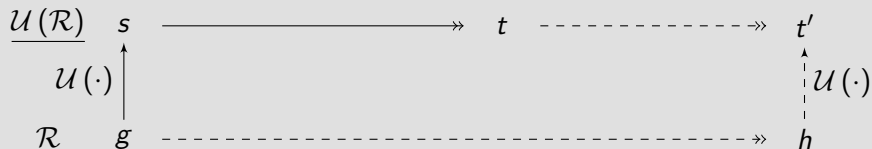
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Strong  $p$ -convergence in an orthogonal, left-finite GRS  $\mathcal{R}$  is complete w.r.t. strong  $p$ -convergence in  $\mathcal{U}(\mathcal{R})$ .



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## Proof.

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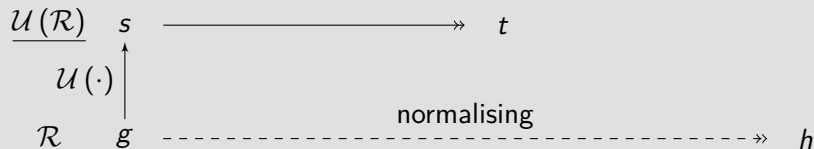
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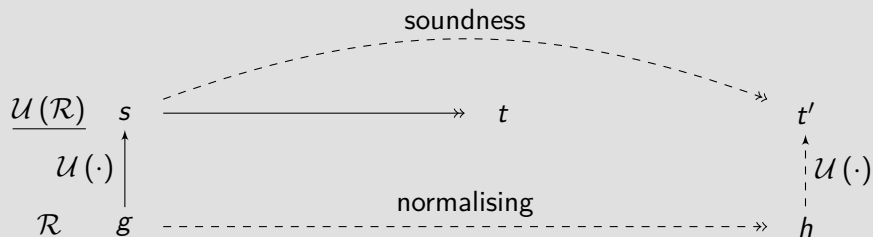
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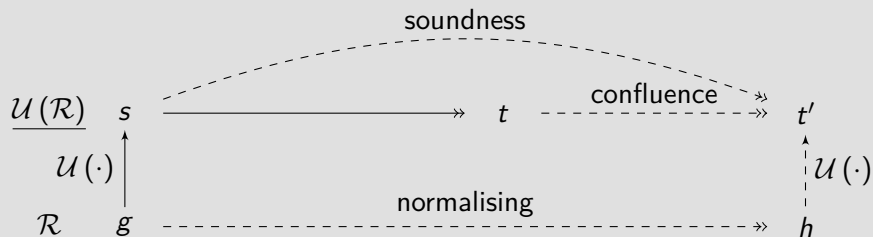
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# Weak(er) Completeness for Metric Convergence

## Theorem

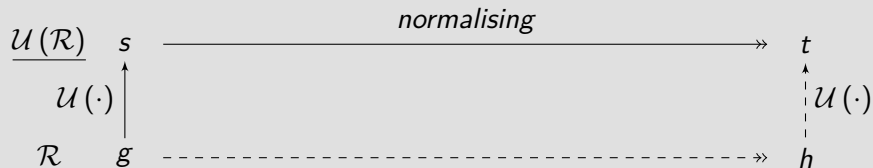
*Strong  $m$ -convergence in an orthogonal, left-finite GRS  $\mathcal{R}$  that is normalising w.r.t. strongly  $m$ -converging reductions is **complete for normalising reductions** in  $\mathcal{U}(\mathcal{R})$ .*



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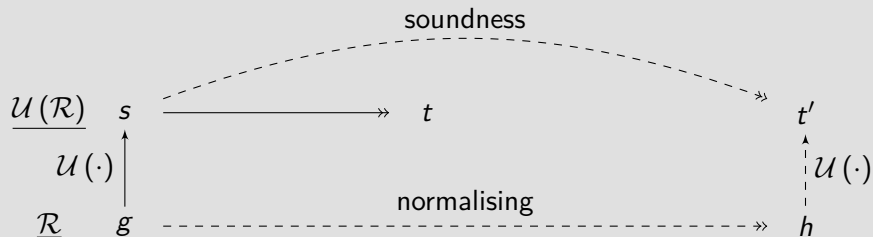


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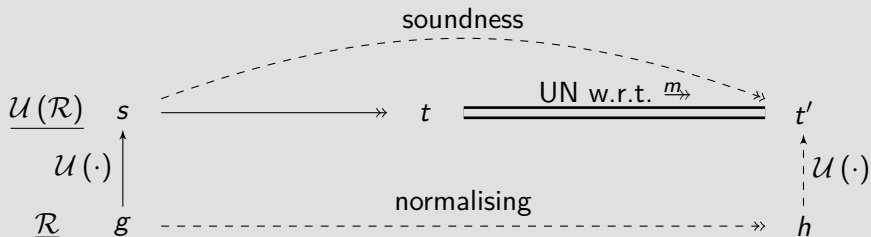


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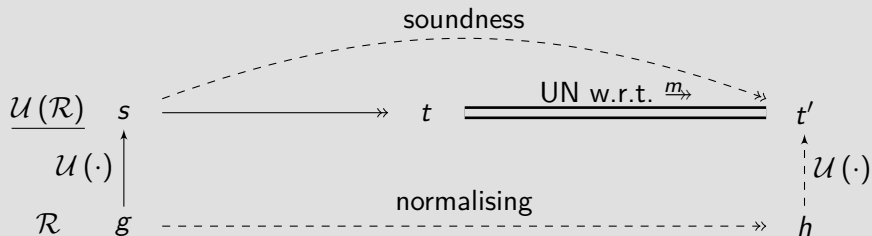
# Weak(er) Completeness for Metric Convergence

Conjecture

Theorem...

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Proof.



# Outline

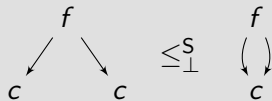
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# Avoiding Sharing

Recall that  $\leq_{\perp}^S$  allows change in sharing

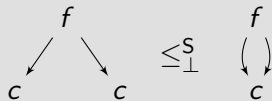
- introduces **sharing**
- total term graphs not necessarily **maximal** w.r.t.  $\leq_{\perp}^S$



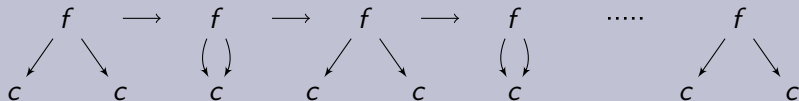
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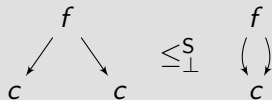
## Example



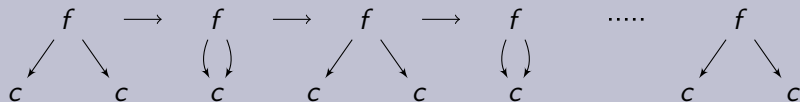
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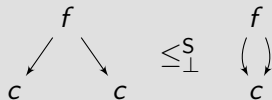
The injective partial order  $\leq_{\perp}^I$

- Avoid sharing by requiring **injectivity** of  $\perp$ -homomorphisms.

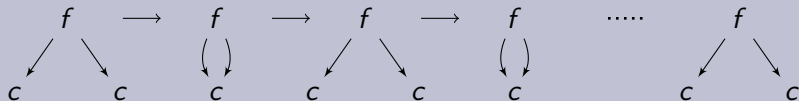
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## Example



The injective partial order  $\leq_{\perp}^I$

- Avoid sharing by requiring **injectivity** of  $\perp$ -homomorphisms.
- Define:  $g \leq_{\perp}^I h$  iff  $\exists$  injective  $\perp$ -homomorphism  $\phi: g \rightarrow_{\perp} h$ .

# The Injective Partial Order is Almost Good Enough

## Properties of $\leq_{\perp}^I$

- $(\mathcal{G}_{\mathcal{C}}^{\infty}(\Sigma_{\perp}), \leq_{\perp}^I)$  is a **complete partial order**.



# The Injective Partial Order is Almost Good Enough

## Properties of $\leq_{\perp}^I$

- $(\mathcal{G}_{\mathcal{C}}^{\infty}(\Sigma_{\perp}), \leq_{\perp}^I)$  is a **complete partial order**.
- $(\mathcal{G}_{\mathcal{C}}^{\infty}(\Sigma_{\perp}), \leq_{\perp}^I)$  is **not a complete semilattice**.



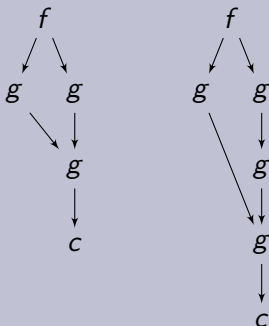


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## Counterexample

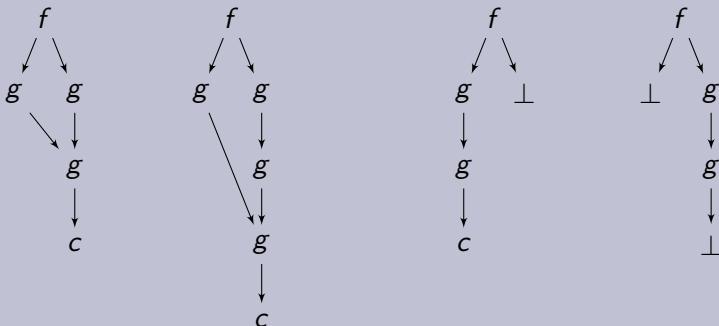


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- Reduction step  $g \rightarrow_{\rho} h$  with left-linear rule  $\rho$  and  $g \leq_{\perp}^I g'$   
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Corollary (strong  $p$ -convergence implies weak  $p$ -convergence)

*In a left-linear GRS  $g \xrightarrow{p} h$  implies  $g \xrightarrow{c p} h'$  for some  $h' \geq_{\perp}^I h$ .*

