



Faculty of Science



Infinitary Rewriting of Terms, Trees and Graphs

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April 4, 2012



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 - Functional Programming & Lazy Evaluation
 - Infinite Reductions
 - From Terms to Graphs
 - Goals
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 - Partial Order Approach
 - Metric vs. Partial Order Approach
 - Soundness & Completeness Properties



Newton-Raphson Square Roots

Approximating \sqrt{N}

$$a_{n+1} = \frac{a_n + N/a_n}{2}$$



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Infinitary term rewriting aims to model infinite reductions explicitly.



Formalising Infinitary Term Rewriting

Complete metric on terms

- terms are endowed with a **complete metric** in order to **formalise the convergence** of infinite reductions.
- metric distance between terms is inversely proportional to the shallowest depth at which they differ:

$$d(s, t) = 2^{-\text{sim}(s,t)}$$

$\text{sim}(s, t)$ – depth of the shallowest discrepancy of s and t



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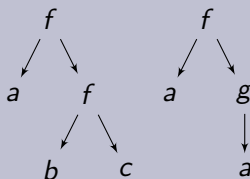
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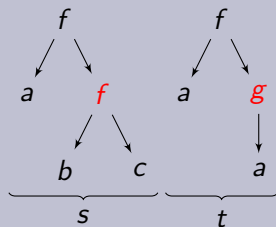
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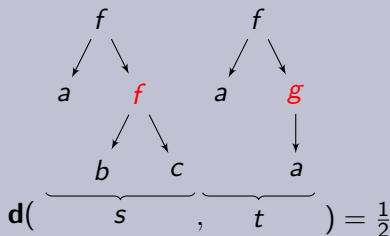
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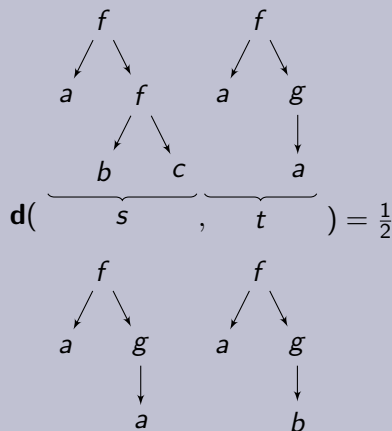
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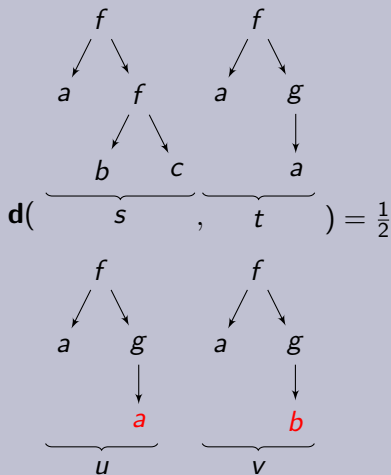
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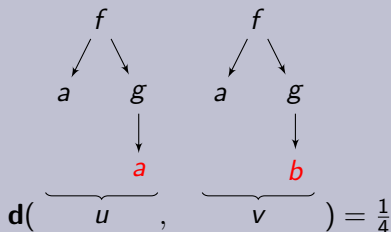
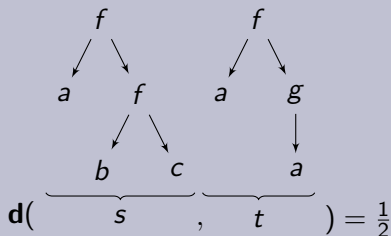
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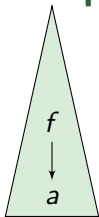
Convergence of Transfinite Reductions

Two different kinds of convergence

- **weak convergence**: convergence in the metric space of terms
 - ↪ for weak convergence the **depth of the discrepancies** of the terms has to tend to infinity
- **strong convergence**: convergence in the metric space + rewrite rules have to (eventually) be applied at increasingly large depth
 - ↪ for strong convergence the **depth of where the rewrite rules are applied** has to tend to infinity



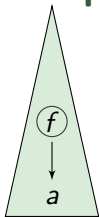
Example: Weak Convergence



$$f(x) \rightarrow f(g(x))$$



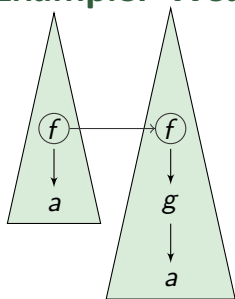
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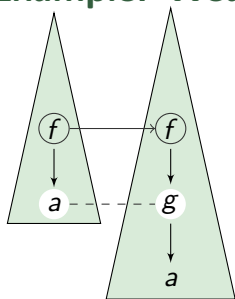
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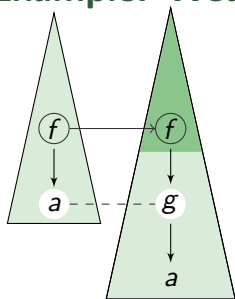
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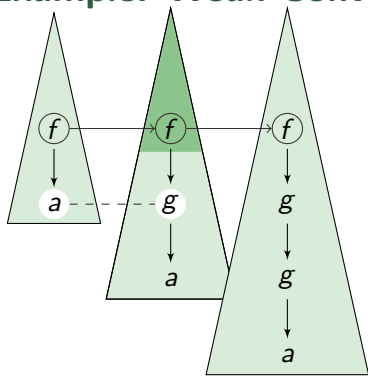
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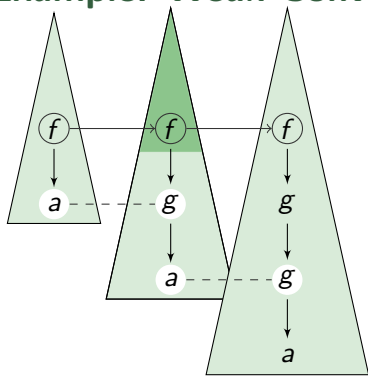
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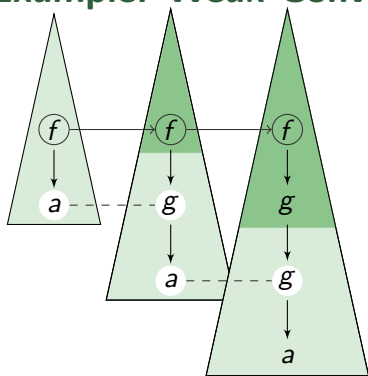
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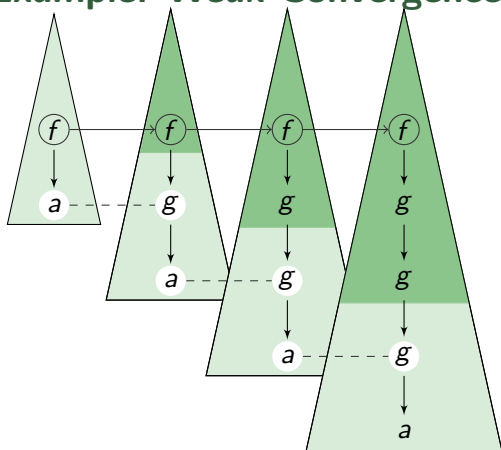
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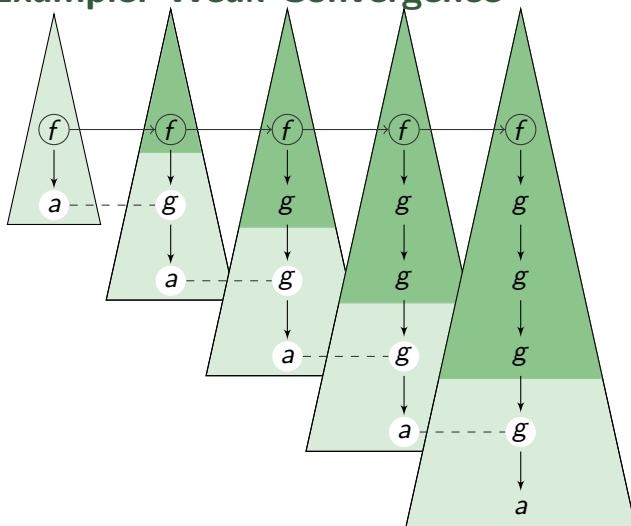
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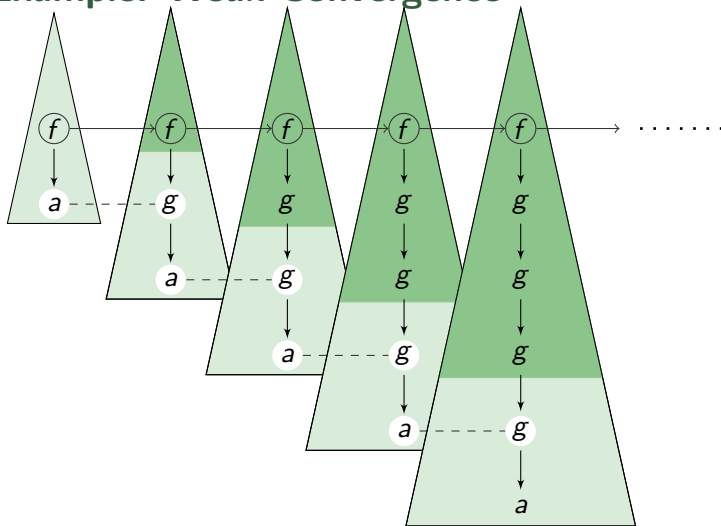
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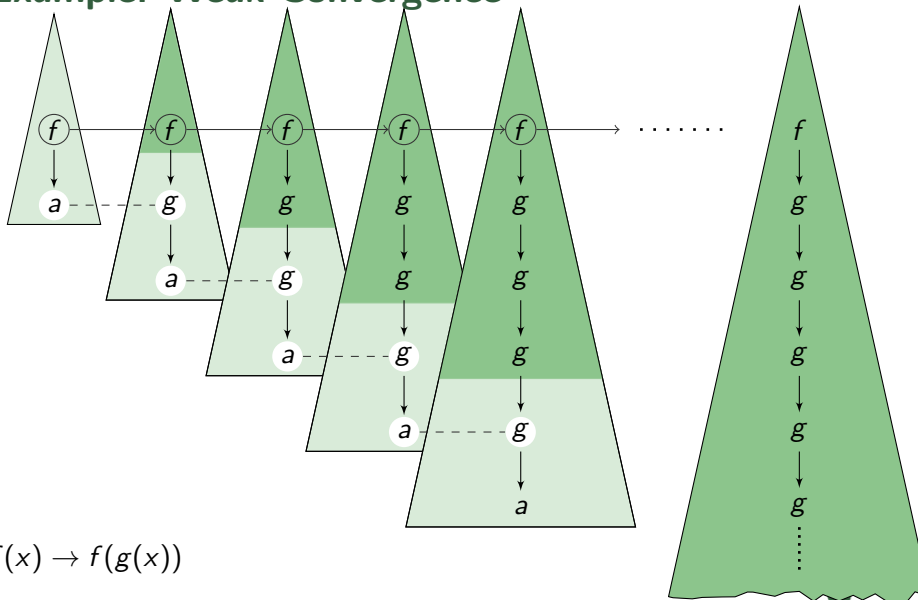
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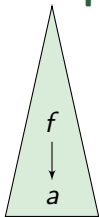


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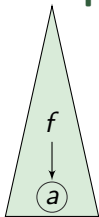
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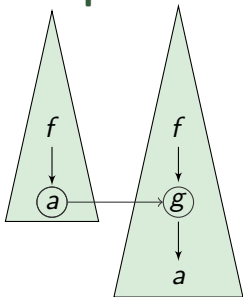
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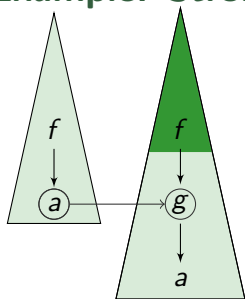
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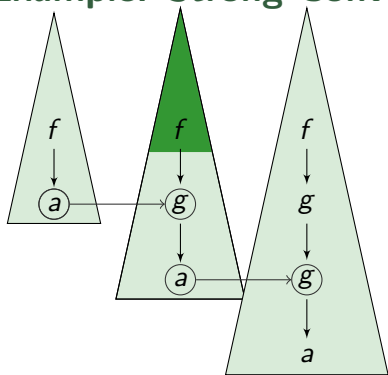
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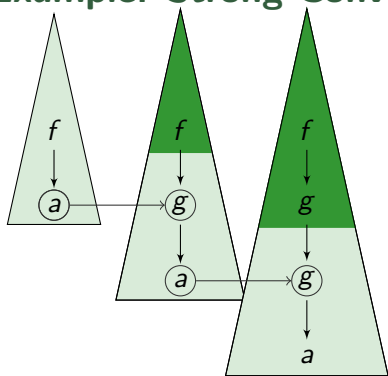
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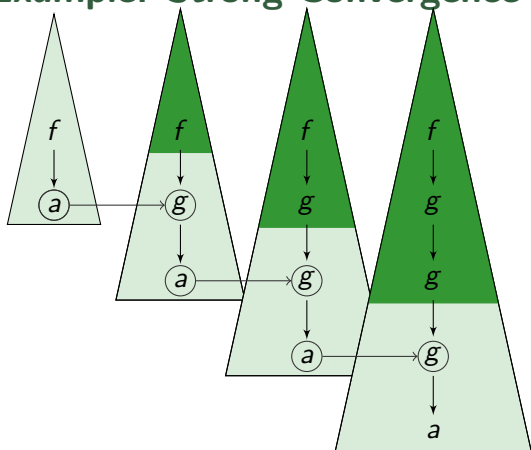
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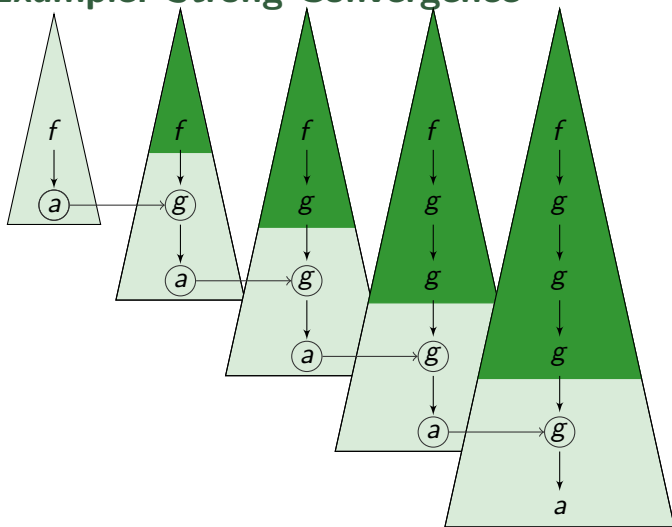
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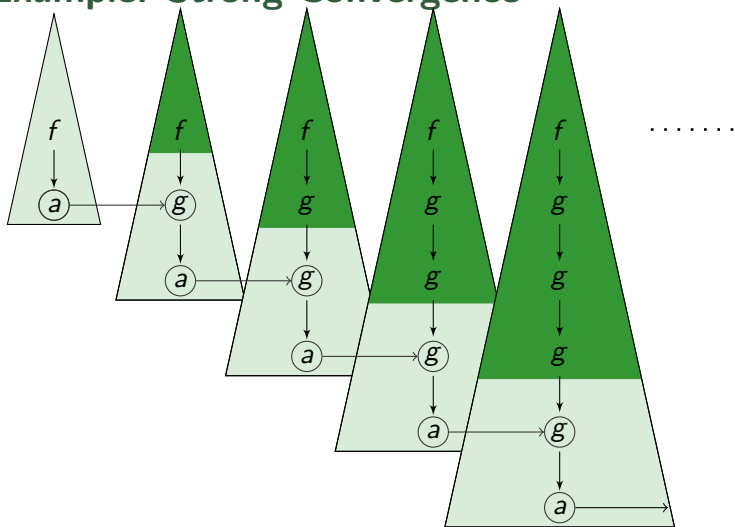
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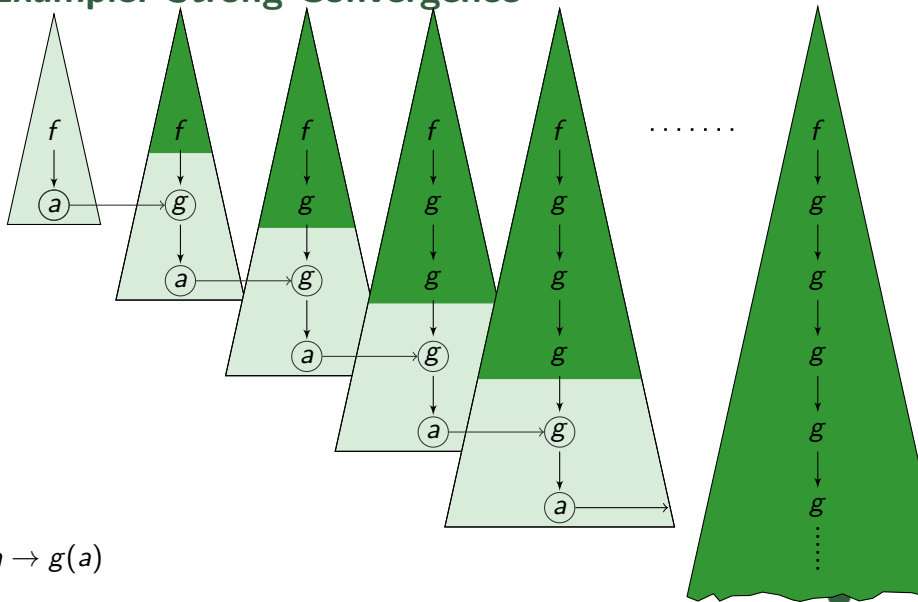
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Some Interesting Properties

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Every reduction can be performed in **at most ω steps**:

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Finite approximation

Every outcome can be **approximated by a finite reduction** arbitrary well:

$$s \twoheadrightarrow^\alpha t \quad \Longrightarrow \quad \forall d \in \mathbb{N} \exists t' \begin{cases} s \twoheadrightarrow^* t' \\ t \text{ and } t' \text{ coincide up to depth } d \end{cases}$$



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Term graph rewriting allows **sharing** of subexpressions

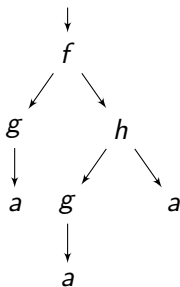


From Terms to Term Graphs

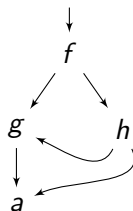
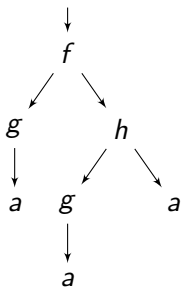
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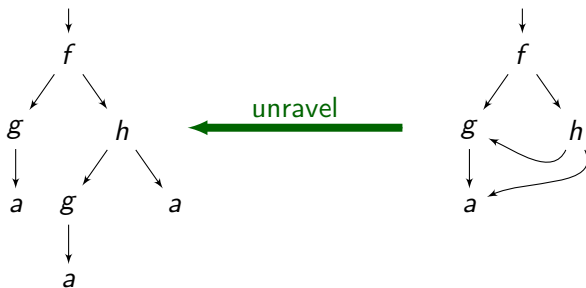

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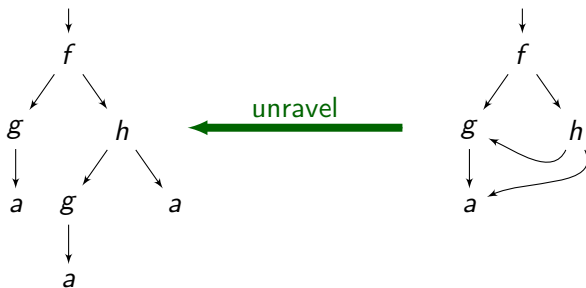
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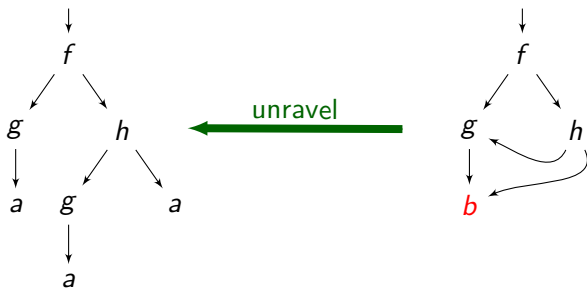


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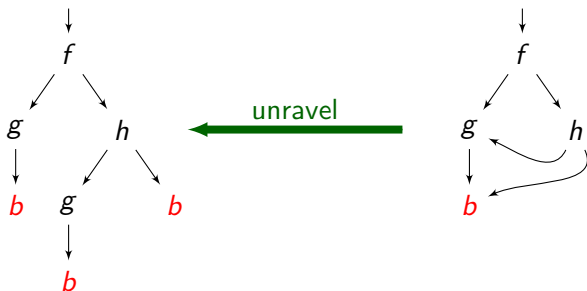

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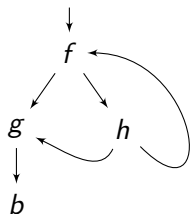
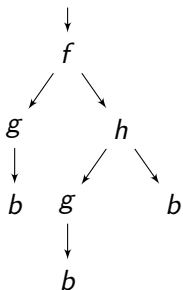

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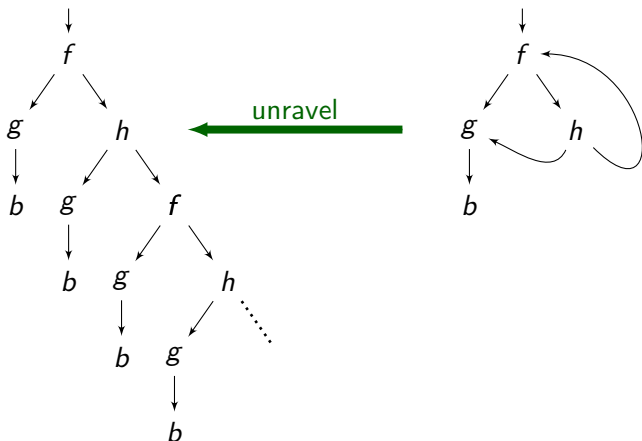

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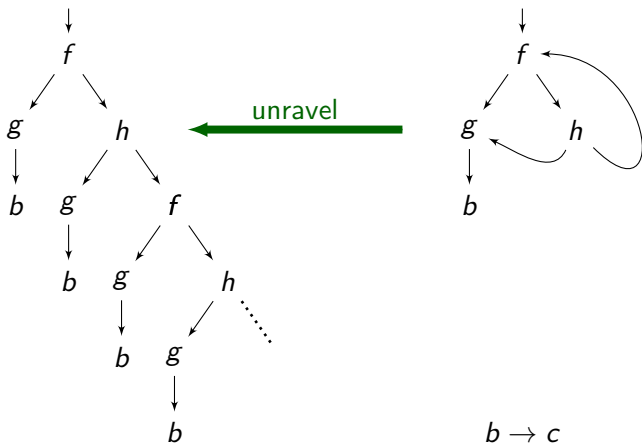
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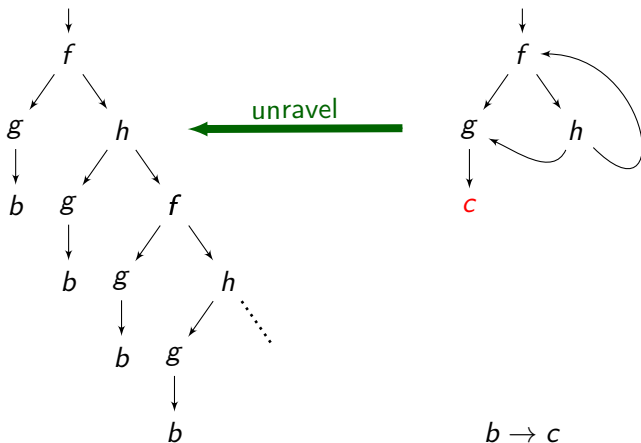
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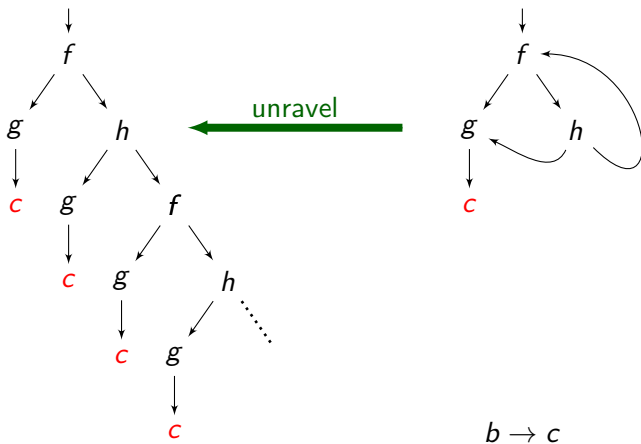
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Infinitary term graph rewriting – what is it for?

- **common formalism** to study correspondences between infinitary term rewriting and finitary term graph rewriting
- infinitary term graph rewriting to model **lazy evaluation**
 - ▶ infinitary term rewriting only covers non-strictness
 - ▶ however: lazy evaluation = non-strictness + **sharing**
- towards infinitary **lambda calculi with letrec**
 - ▶ Ariola & Blom. *Skew confluence and the lambda calculus with letrec*.
 - ▶ the calculus is **non-confluent**
 - ▶ but there is a notion of **infinite normal forms**



Obstacles

What is the an appropriate notion of convergence on term graph?

- It should **generalise convergence on terms**.
 - ▶ **But**: there are many quite different generalisations.
 - ▶ Most important issue: How to deal with **sharing**?
- It should simulate infinitary term rewriting in a sound & complete manner.



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Soundness of infinitary term graph rewriting

$$\begin{array}{ccc}
 \underline{\mathcal{R}} & g & \xrightarrow{\quad\quad\quad} h \\
 & \downarrow \mathcal{U}(\cdot) & \\
 \underline{\mathcal{U}(\mathcal{R})} & s &
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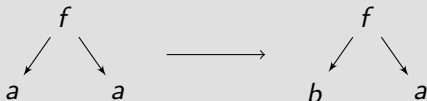
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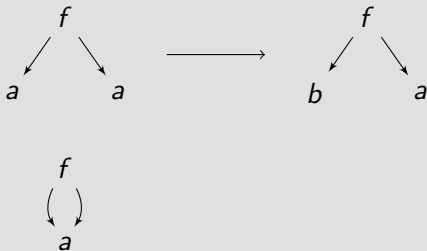
Completeness of Term Graph Rewriting

An issue even for **finitary acyclic** term graph reductions!



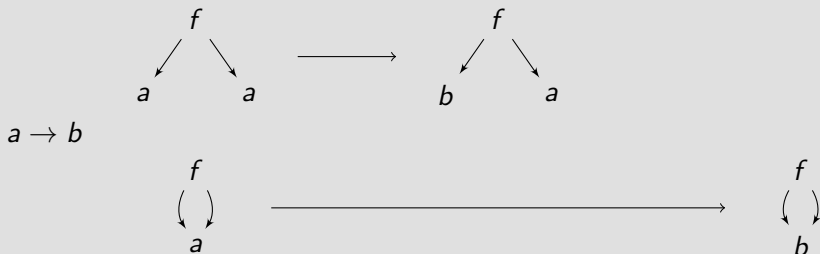
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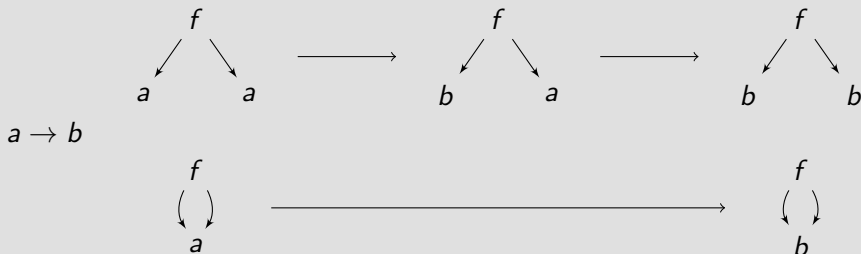
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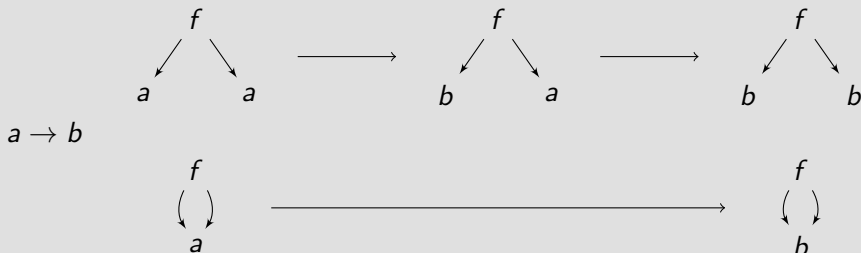
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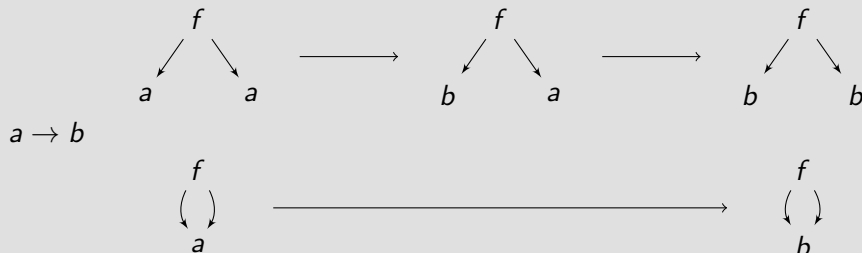


Completeness w.r.t. term graph rewriting

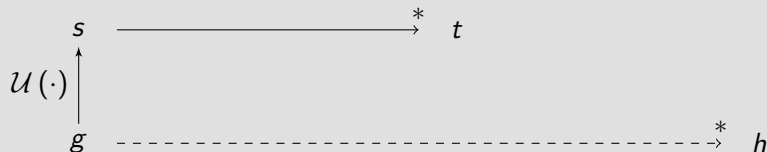


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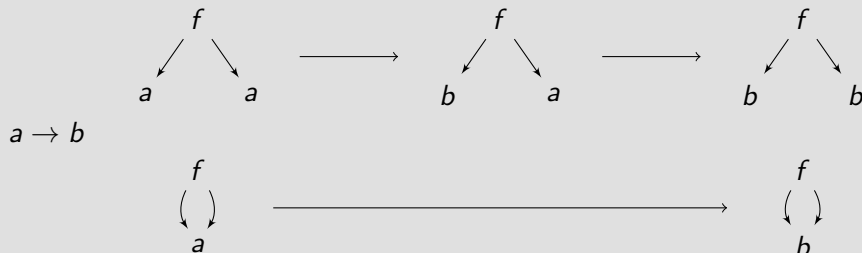


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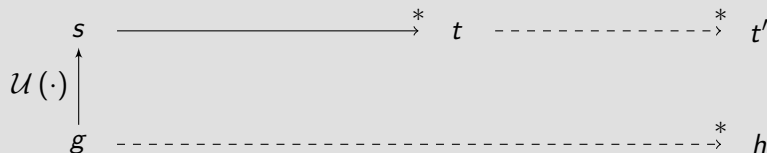


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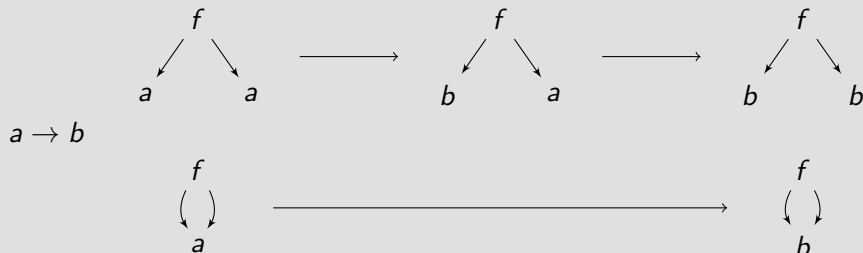


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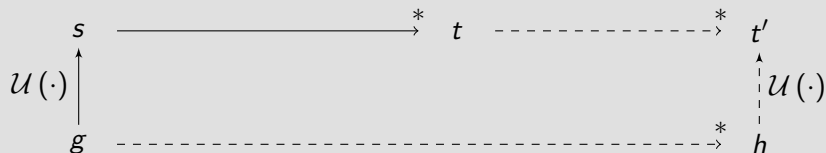


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Completeness of Infinitary Term Graph Rewriting?

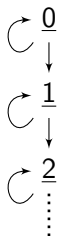
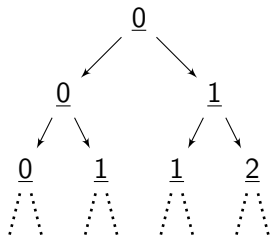
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[Kennaway et al., 1994]



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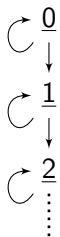
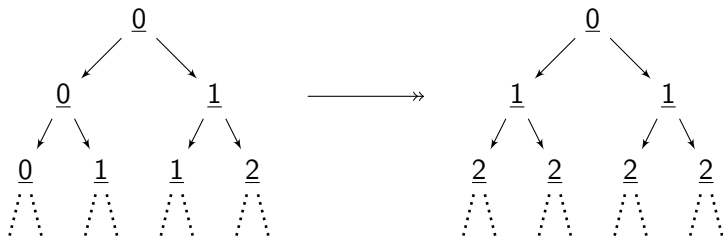


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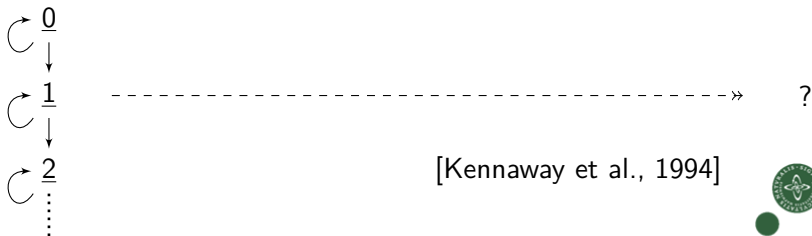
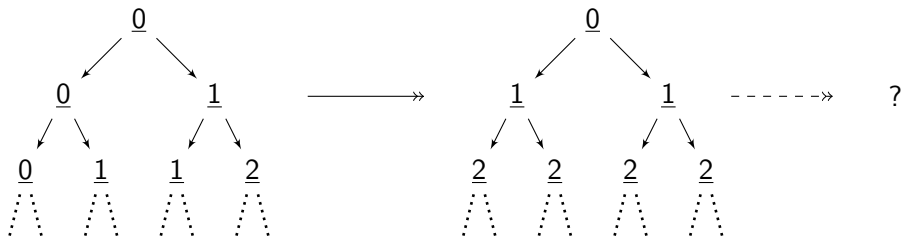


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Outline

- 1 Introduction
 - Functional Programming & Lazy Evaluation
 - Infinite Reductions
 - From Terms to Graphs
 - Goals
 - Obstacles
- 2 Infinitary Term Graph Rewriting
 - Metric Approach
 - Partial Order Approach
 - Metric vs. Partial Order Approach
 - Soundness & Completeness Properties



Towards a Metric on Term Graphs

We want to generalise the metric on terms

$$\mathbf{d}(s, t) = 2^{-\text{sim}(s,t)}$$

$\text{sim}(s, t) =$ **minimum** depth d s.t. s and t **differ at depth d**

Alternative characterisation of $\text{sim}(s, t)$ via truncation

Truncation $t|d$ of a term t at depth d :

$$t|0 = \perp$$

$$f(t_1, \dots, t_k)|d + 1 = f(t_1|d, \dots, t_k|d)$$

Then $\text{sim}(s, t) =$ **maximum** depth d s.t. $s|d = t|d$.



A Metric on Term Graphs

Depth of a node = length of a shortest path from the root to the node.



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Truncation of term graphs

The truncation $g \uparrow d$ is obtained from g by

- **relabelling** all nodes at depth d with \perp , and
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The simple metric on term graphs

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Where $\text{sim}_{\dagger}(g, h) =$ maximum depth d s.t. $g \dagger d \cong h \dagger d$.



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Strong convergence via metric \mathbf{d}_{\dagger} and redex depth

- convergence in the metric space $(\mathcal{G}_{\mathcal{C}}^{\infty}(\Sigma), \mathbf{d}_{\dagger})$
- **depth of redexes** has to tend to infinity

Example: $rep(x) \rightarrow x :: rep(f(x))$

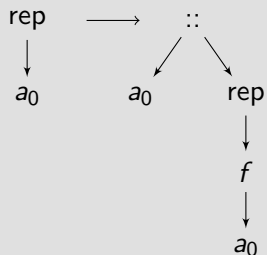
rep



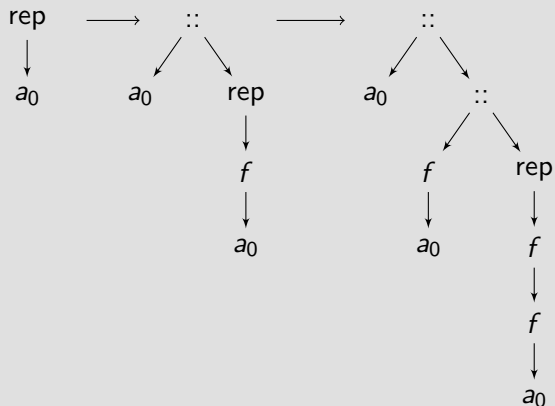
a_0



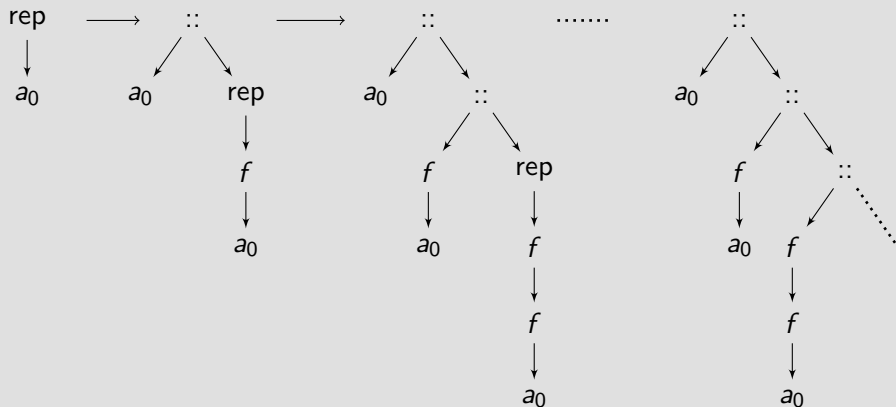
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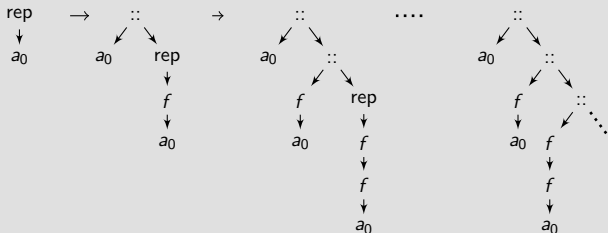
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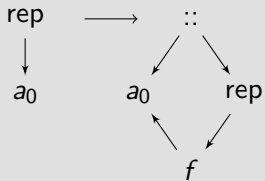
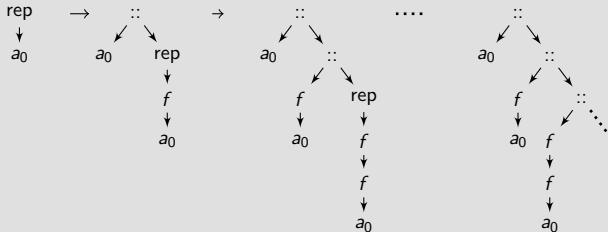


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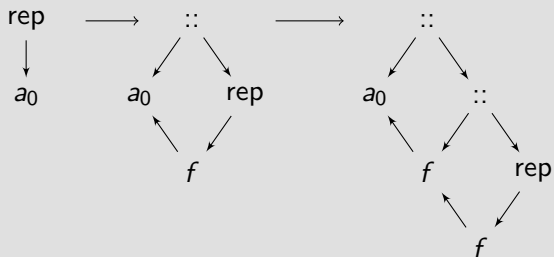
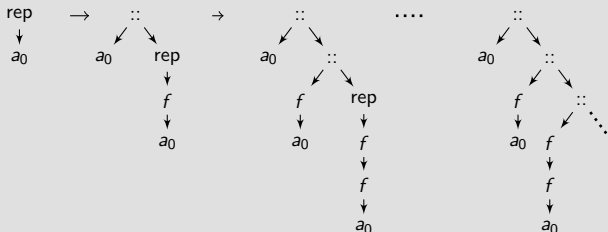


rep
↓
a₀

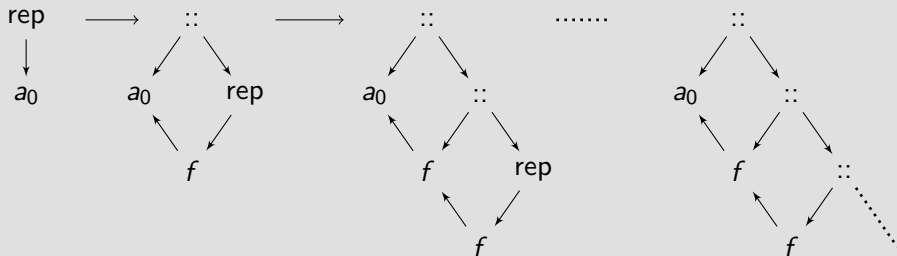
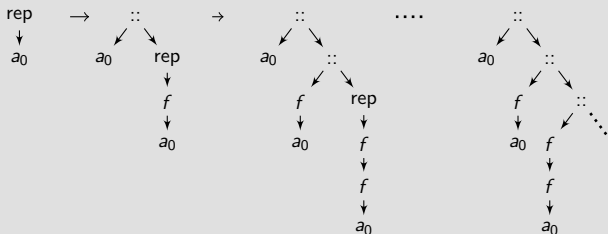
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Theorem (soundness of metric convergence)

For every left-linear, left-finite GRS \mathcal{R} we have

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Completeness property

$$\begin{array}{ccc}
 \mathcal{U}(\mathcal{R}) & s & \xrightarrow{\quad} t \\
 \mathcal{U}(\cdot) \uparrow & & \\
 \mathcal{R} & g &
 \end{array}$$



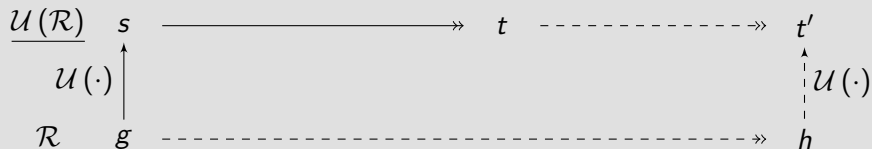
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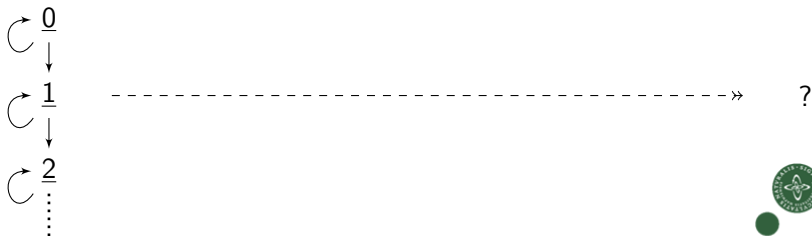
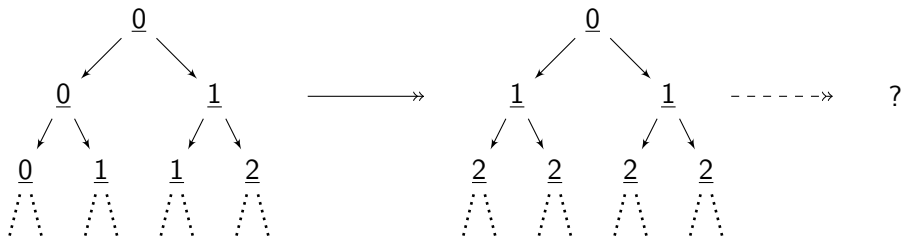
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Failure of Completeness for Metric Convergence

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Partial Order Infinitary Term Rewriting

Partial order on terms

- **partial terms**: terms with additional constant \perp (read as “undefined”)
- partial order \leq_{\perp} reads as: “is less defined than”
- \leq_{\perp} is a **complete semilattice** (= cpo + glbs of non-empty sets)



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Convergence

- formalised by the **limit inferior**:

$$\liminf_{\iota \rightarrow \alpha} t_{\iota} = \bigsqcup_{\beta < \alpha} \bigsqcap_{\beta \leq \iota < \alpha} t_{\iota}$$

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term obtained by replacing
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Partial Order Convergence vs. Metric Convergence

Intuition of partial order convergence

- subterms that would break m -convergence, converge to \perp
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Theorem (normalisation & confluence)

Every orthogonal TRS is *infinitarily normalising* and *infinitarily confluent* w.r.t. strong p -convergence.



A Partial Order on Term Graphs – How?

Specialise on terms

- Consider terms as **term trees** (i.e. term graphs with tree structure)
- How to define the partial order \leq_{\perp} on term trees?



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For all $s, t \in \mathcal{T}^{\infty}(\Sigma_{\perp})$: $s \leq_{\perp} t$ iff $\exists \phi: s \rightarrow_{\perp} t$



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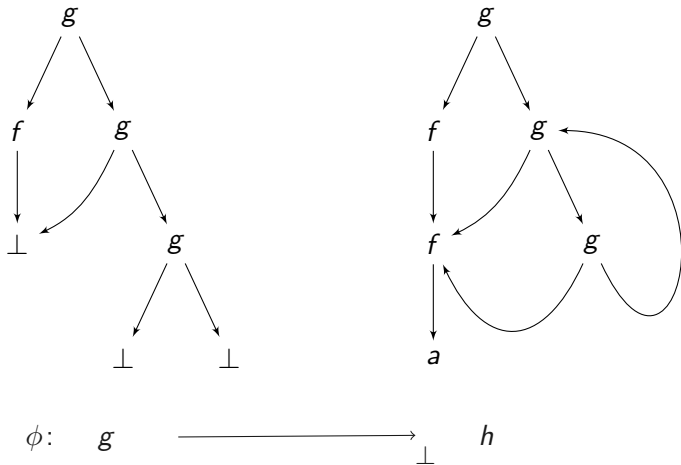
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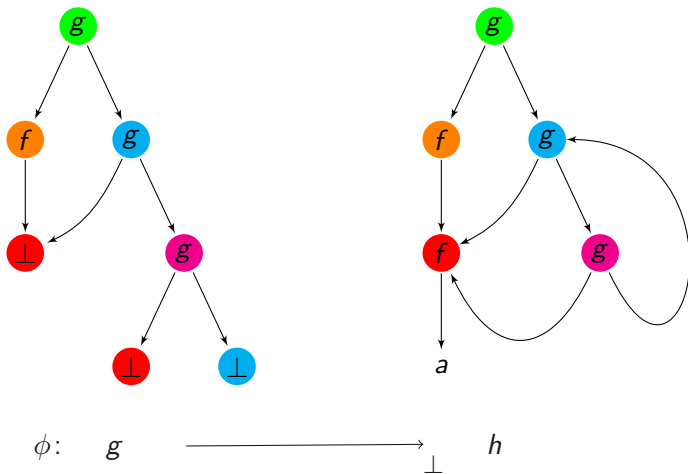
Definition (Simple partial order \leq_{\perp}^S on term graphs)

For all $g, h \in \mathcal{G}^{\infty}(\Sigma_{\perp})$, let $g \leq_{\perp}^S h$ iff there is some $\phi: g \rightarrow_{\perp} h$.

A \perp -Homomorphism



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Partial Order Convergence on Term Graphs

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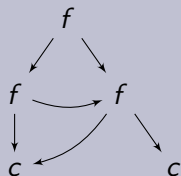
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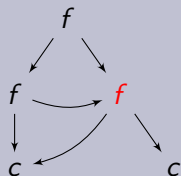
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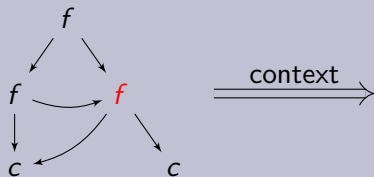
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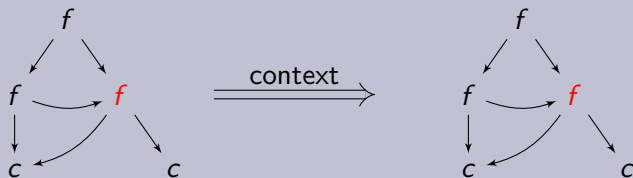
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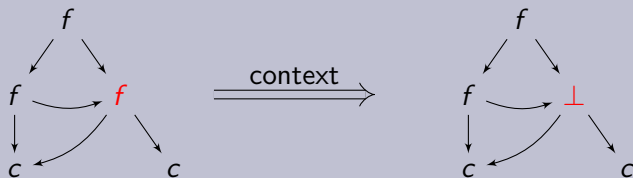
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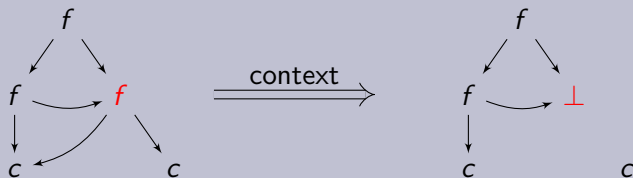
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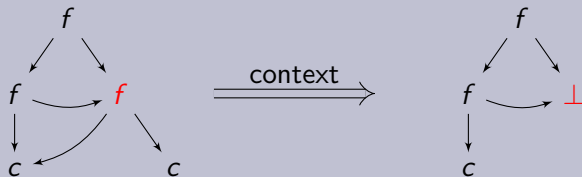
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Metric vs. Partial Order Approach – Weak Conv.

Recall the situation on terms

For every reduction S in a TRS

$$S: s \xrightarrow{p} t \text{ in } \mathcal{T}^\infty(\Sigma) \quad \iff \quad S: s \xrightarrow{m} t.$$



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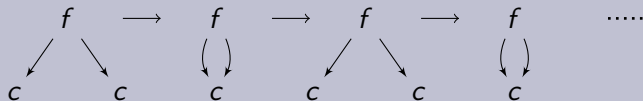
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Counterexample



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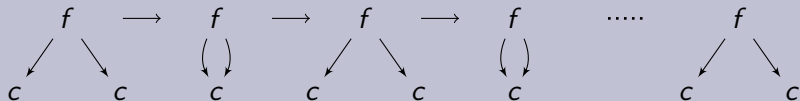
$$S: s \xrightarrow{\rho} t \text{ in } \mathcal{T}^\infty(\Sigma) \iff S: s \xrightarrow{m} t.$$

On term graphs

For every reduction S in a **GRS**

$$S: s \xrightarrow{\rho} t \text{ in } \mathcal{G}^\infty(\Sigma) \begin{array}{c} \xleftarrow{\checkmark} \\ \xrightarrow{\times} \end{array} S: s \xrightarrow{m} t.$$

Counterexample



Metric vs. Partial Order Approach – Strong Conv.

Recall the situation on terms

For every reduction S in a TRS

$$S: s \xrightarrow{p} t \text{ in } \mathcal{T}^\infty(\Sigma) \quad \iff \quad S: s \xrightarrow{m} t.$$



Metric vs. Partial Order Approach – Strong Conv.

Recall the situation on terms

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$$S: s \xrightarrow{P} t \text{ in } \mathcal{G}^\infty(\Sigma) \quad \begin{array}{c} ? \\ \longleftarrow \\ \Longrightarrow \end{array} \quad S: s \xrightarrow{m} t.$$



Metric vs. Partial Order Approach – Strong Conv.

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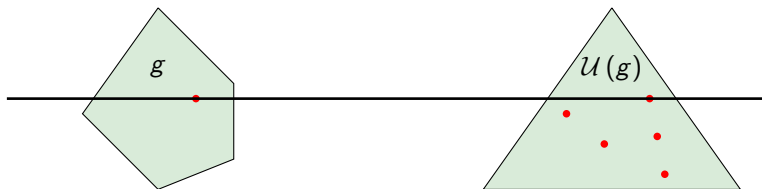
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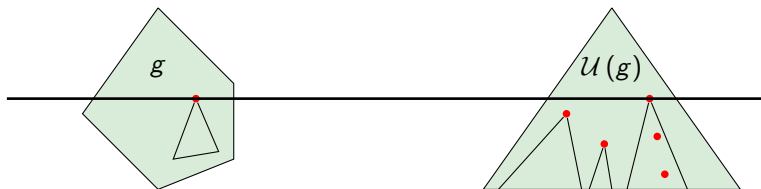
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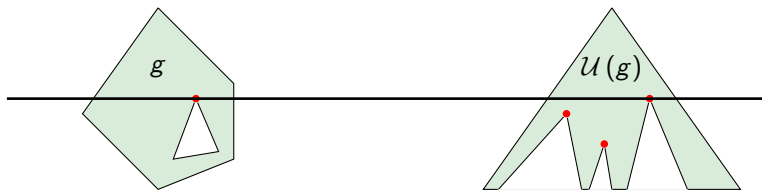
Soundness – Partial Order Convergence



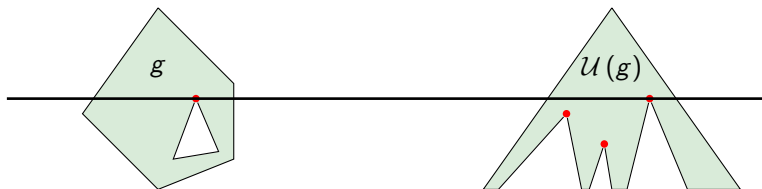
Soundness – Partial Order Convergence



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Soundness – Partial Order Convergence

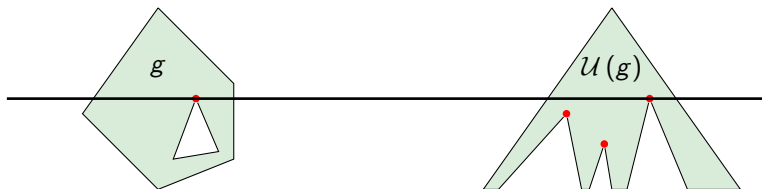


Proposition

- Given: a step $g \rightarrow_c h$ in a left-linear, left-finite GRS \mathcal{R} .
- Then: $\mathcal{U}(g) \xrightarrow{P} \mathcal{U}(\mathcal{R}) \mathcal{U}(h)$ and $\mathcal{U}(c) = \prod_{l < \alpha} c_l$



Soundness – Partial Order Convergence



Proposition

- Given: a step $g \rightarrow_c h$ in a left-linear, left-finite GRS \mathcal{R} .
- Then: $U(g) \xrightarrow{P_{U(\mathcal{R})}} U(h)$ and $U(c) = \prod_{l < \alpha} c_l$

Theorem (Soundness)

For every left-linear, left-finite GRS \mathcal{R} we have

$$g \xrightarrow{P_{\mathcal{R}}} h \quad \Longrightarrow \quad U(g) \xrightarrow{P_{U(\mathcal{R})}} U(h).$$

Completeness for Partial Order Convergence

Theorem (Infinitary normalisation)

For each term graph g , there is a reduction $g \xrightarrow{P} h$ to a normal form h .



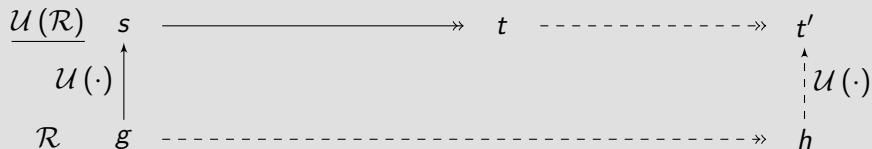
Completeness for Partial Order Convergence

Theorem (Infinitary normalisation)

For each term graph g , there is a reduction $g \xrightarrow{p} h$ to a normal form h .

Theorem (Completeness)

Strong p -convergence in an orthogonal, left-finite GRS \mathcal{R} is complete w.r.t. strong p -convergence in $\mathcal{U}(\mathcal{R})$.



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Proof.

$$\begin{array}{ccc}
 \mathcal{U}(\mathcal{R}) & s & \longrightarrow t \\
 \mathcal{U}(\cdot) \uparrow & & \\
 \mathcal{R} & g &
 \end{array}$$

Completeness for Partial Order Convergence

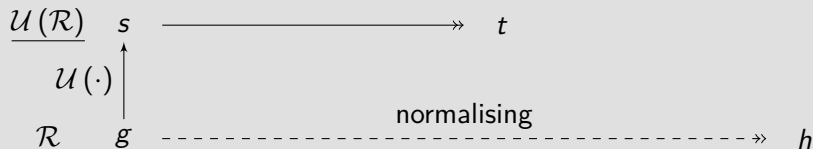
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Completeness for Partial Order Convergence

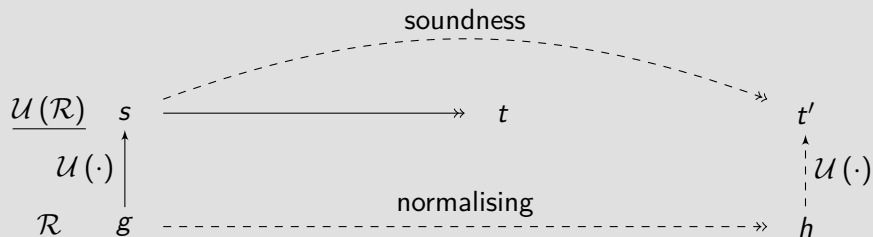
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Completeness for Partial Order Convergence

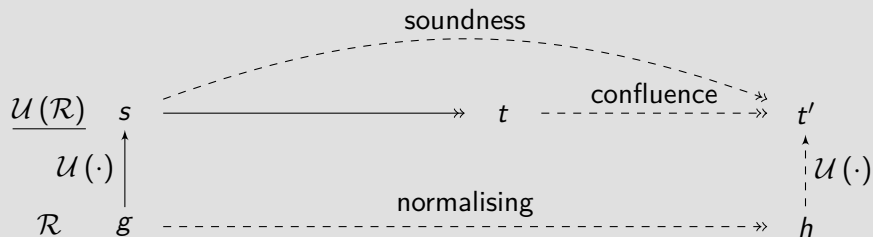
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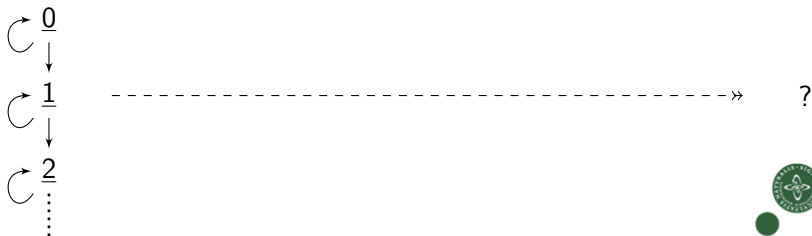
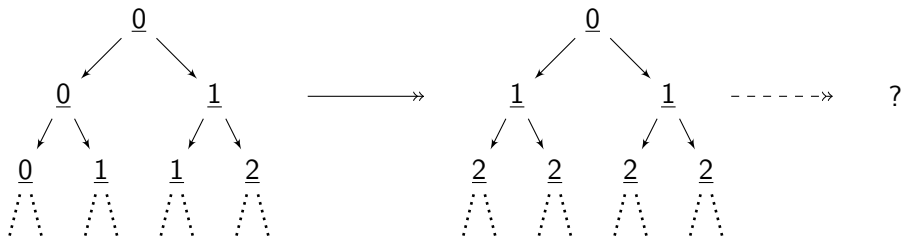
Strong p -convergence in an orthogonal, left-finite GRS \mathcal{R} is complete w.r.t. strong p -convergence in $\mathcal{U}(\mathcal{R})$.

Proof.



Failure of Completeness for Metric Convergence

We have a rule $\underline{n}(x, y) \rightarrow \underline{n+1}(x, y)$ for each $n \in \mathbb{N}$.



Weak(er) Completeness for Metric Convergence

Theorem

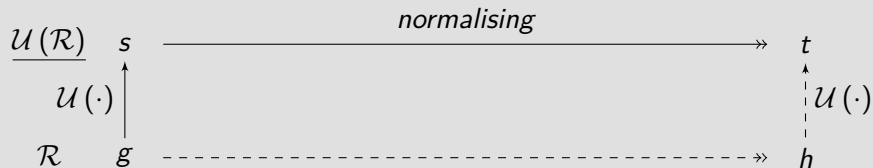
*Strong m -convergence in an orthogonal, left-finite GRS \mathcal{R} that is normalising w.r.t. strongly m -converging reductions is **complete for normalising reductions** in $\mathcal{U}(\mathcal{R})$.*



Weak(er) Completeness for Metric Convergence

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Strong m -convergence in an orthogonal, left-finite GRS \mathcal{R} that is normalising w.r.t. strongly m -converging reductions is **complete for normalising reductions** in $\mathcal{U}(\mathcal{R})$.



Weak(er) Completeness for Metric Convergence

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Strong m -convergence in an orthogonal, left-finite GRS \mathcal{R} that is normalising w.r.t. strongly m -converging reductions is **complete for normalising reductions** in $\mathcal{U}(\mathcal{R})$.

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$$\begin{array}{ccc}
 \underline{\mathcal{U}(\mathcal{R})} & s & \longrightarrow \gg t \\
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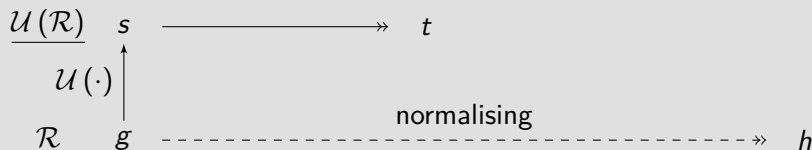


Weak(er) Completeness for Metric Convergence

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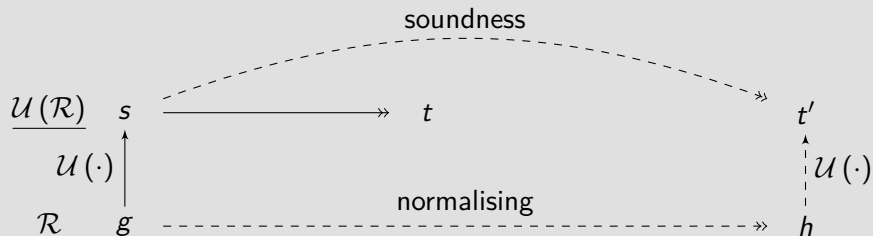


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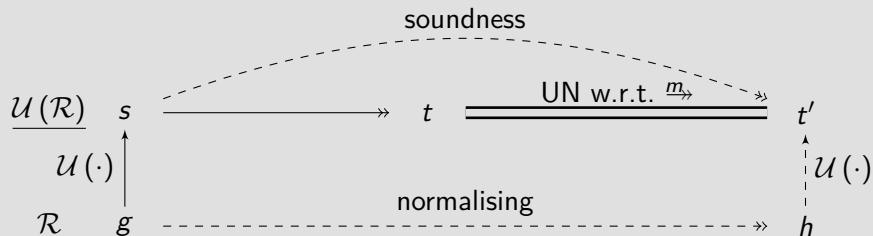


Weak(er) Completeness for Metric Convergence

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Proof.



Weak(er) Completeness for Metric Convergence

Conjecture

Theorem...

Strong m -convergence in an orthogonal, left-finite GRS \mathcal{R} that is ~~normalising~~ w.r.t. strongly m -converging reductions is **complete for normalising reductions** in $\mathcal{U}(\mathcal{R})$.

Proof.

