



Faculty of Science



Modes of Convergence for Infinitary Rewriting



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Outline

- 1 Introduction
 - From Finitary Rewriting to Infinitary Rewriting
 - Why Infinitary Rewriting?
- 2 Partial Order Model of Infinitary Rewriting
- 3 Beyond Term Rewriting
 - Abstract Models
 - Term Graph Rewriting
 - Higher-Order Term Rewriting



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Rewriting Systems

What are rewriting systems?

- consist of **directed symbolic equations** over objects such as strings, terms, graphs etc.
- based on the idea of **replacing equals by equals**
- provide a formal **model of computation**
- **term rewriting** is the foundation of **functional programming**

Example (Term rewriting system defining addition and multiplication)

$$\mathcal{R}_{+*} = \begin{cases} x + 0 & \rightarrow x & x * 0 & \rightarrow 0 \\ x + s(y) & \rightarrow s(x + y) & x * s(y) & \rightarrow x + (x * y) \end{cases}$$

$$s^2(0) * s^2(0) \rightarrow^7 s^4(0)$$

\mathcal{R}_{+*} is **terminating!**

Non-Terminating Rewriting Systems

Termination guarantees that every reduction sequence leads to a **normal form**, i.e. a **final outcome**.

Non-terminating systems can be meaningful

- modelling **reactive systems**, e.g. by process calculi
- **approximation algorithms** which enhance the accuracy of the approximation with each iteration, e.g. computing π
- specification of **infinite data structures**, e.g. **streams**

Example (Infinite lists)

$$\mathcal{R}_{nats} = \left\{ \begin{array}{l} from(x) \rightarrow x : from(s(x)) \end{array} \right.$$

$$from(0) \rightarrow \dots$$

intuitively this **converges** to the infinite list $0 : 1 : 2 : 3 : 4 : 5 : \dots$

Infinitary Rewriting

What is infinitary rewriting?

- formalises the outcome of an **infinite reduction sequence**
- allows reduction sequences of **any ordinal number length**
- deals with (potentially) **infinite terms**

Why consider infinitary rewriting?

- because we can
- model for **lazy functional programming**
- semantics for **non-terminating systems**
- semantics for **process algebras**
- arises in **cyclic term graph rewriting**



Formalising Infinitary Term Rewriting

Complete metric on terms

▶ Skip this

- terms are endowed with a **complete metric** in order to **formalise the convergence** of infinite reductions.
- metric distance between terms is inversely proportional to the shallowest depth at which they differ:

$$d(s, t) = 2^{-\text{sim}(s, t)}$$

$\text{sim}(s, t)$ – depth of the shallowest discrepancy of s and t

Example

$$d\left(\underbrace{\begin{array}{c} f \\ \swarrow \quad \searrow \\ a \quad f \\ \quad \swarrow \quad \searrow \\ \quad b \quad c \end{array}}_s, \underbrace{\begin{array}{c} f \\ \swarrow \quad \searrow \\ a \quad g \\ \quad \downarrow \\ \quad a \end{array}}_t\right) = \frac{1}{2}$$

$$d\left(\underbrace{\begin{array}{c} f \\ \swarrow \quad \searrow \\ a \quad g \\ \quad \downarrow \\ \quad a \end{array}}_u, \underbrace{\begin{array}{c} f \\ \swarrow \quad \searrow \\ a \quad g \\ \quad \downarrow \\ \quad b \end{array}}_v\right) = \frac{1}{4}$$

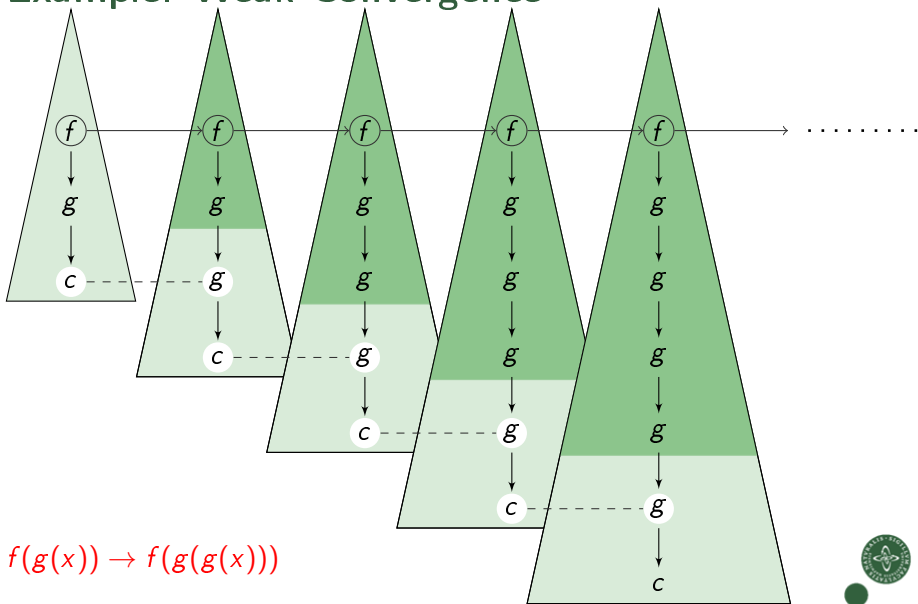
Convergence of Transfinite Reductions

Two different kinds of convergence

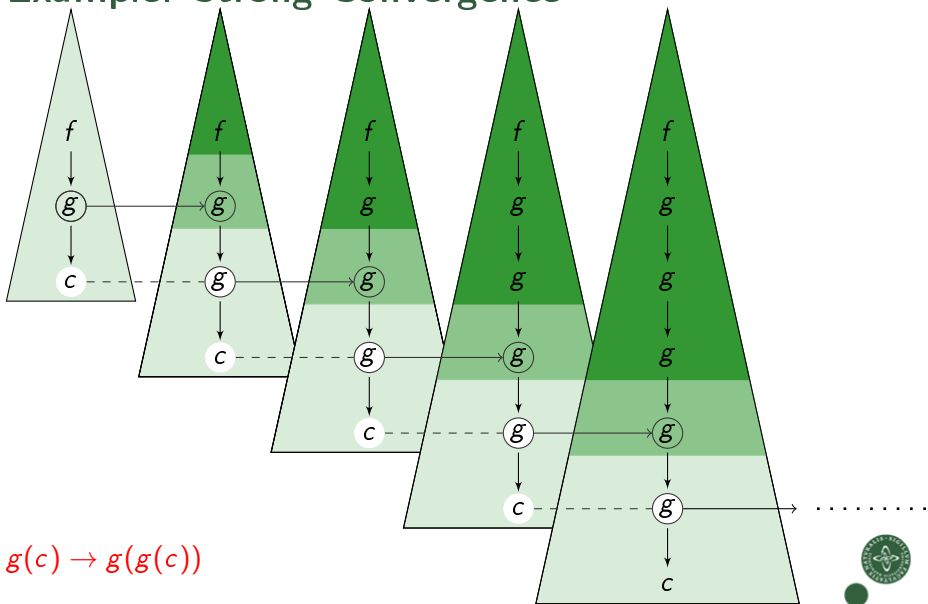
- **weak convergence**: convergence in the metric space of terms
 - ↪ for weak convergence the **depth of the discrepancies** of the terms has to tend to infinity
- **strong convergence**: convergence in the metric space + rewrite rules have to (eventually) be applied at increasingly large depth
 - ↪ for strong convergence the **depth of where the rewrite rules are applied** has to tend to infinity



Example: Weak Convergence



Example: Strong Convergence



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Partial Order Model of Infinitary Rewriting

Described on the example of terms

Partial order on terms

- **partial terms**: terms with additional constant \perp (read as “undefined”)
- partial order \leq_{\perp} reads as: “is less defined than”
- \leq_{\perp} is a **complete semilattice** (= cpo + glbs of non-empty sets)

Convergence

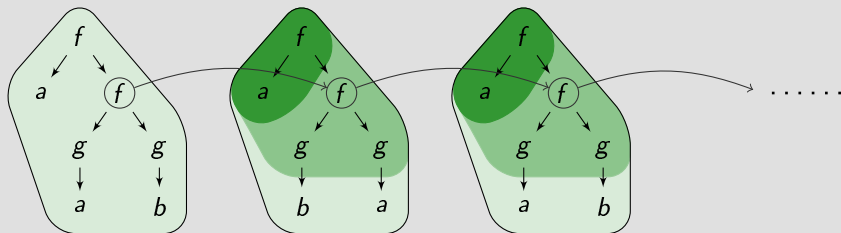
- formalised by the **limit inferior**:

$$\liminf_{\iota \rightarrow \alpha} t_{\iota} = \bigsqcup_{\beta < \alpha} \prod_{\beta \leq \iota < \alpha} t_{\iota}$$

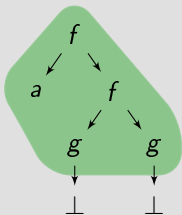
- intuition: **eventual persistence** of nodes of the terms
- **weak convergence**: limit inferior of the **terms** of the reduction
- **strong convergence**: limit inferior of the **contexts** of the reduction

An Example

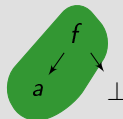
Reduction sequence for $f(x, y) \rightarrow f(y, x)$



Weak convergence



Strong convergence



Properties of the Partial Order Model

Benefits

- reduction sequences **always converge**
- **more fine-grained** than the metric model
- **more intuitive** than the metric model
- **subsumes metric model**

Theorem (total p -convergence = m -convergence)

For every reduction S in a TRS the following equivalences hold:

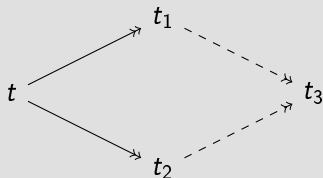
- 1 $S: s \xrightarrow{p} t$ is total iff $S: s \xrightarrow{m} t$. (weak convergence)
- 2 $S: s \xrightarrow{p} t$ is total iff $S: s \xrightarrow{m} t$. (strong convergence)



Strong Convergence on Orthogonal System

With partial order model, we gain **confluence** and **normalisation**.

Infinitary confluence



Infinitary normalisation

$$t \text{ -----} \twoheadrightarrow \bar{t} \not\rightarrow$$

Every term has a **normal form reachable** by a possibly infinite reduction.

Böhm Trees

- The same properties can be achieved by allowing so-called root active terms to be immediately rewritten to \perp .

\rightsquigarrow Böhm extensions

- **In fact:**
metric convergence + Böhm extension = partial-order convergence

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Abstraction

Abstract Modes of Convergence

- Abstract models of infinitary rewriting in the tradition of **abstract reduction systems**.
- Simply replace “finite reductions” with “converging reductions”.
- abstract reduction systems are **special case** with only finite reductions converging
- Recover most **abstract properties**, e.g. parts of the termination resp. confluence hierarchy

Can we do better?

- This abstraction is rather ad-hoc!
- **Open question**: Is there a (nice) common generalisation of metric convergence and partial-order convergence?



Term Graph Rewriting

Problem

- There are **several alternatives** for a metric resp. partial order.
- However, there is **no obvious/natural choice!** (or is there?)

Candidate Structures

- isomorphism “up to depth n ” \rightsquigarrow **complete ultrametric**
- isomorphism “modulo \perp ” \rightsquigarrow **complete semilattice**
 \rightsquigarrow total p -convergence = m -convergence! (at least for weak conv.)

But

- These structures are quite intricate.
- There are other “more natural” choices for metric spaces based on **truncations**.
- However, it is not clear what the corresponding partial order is.

Infinitary Term Graph Rewriting – Why Bother?

Applications

- syntax-based semantics (provided we can obtain unique normal forms)
- simulation of infinitary term rewriting by finitary graph rewriting

Partial order higher-order term rewriting

- e.g. lambda calculus or combinatory reduction systems
- variable binding of higher-order calculi can be represented as cycle(?)
- comparison with Böhm-extensions of higher-order calculi
- **Challenge:** parametrised metric \rightsquigarrow different notions of Böhm(-like) trees
- How can this be captured by a partial order?

