# Implementation of a Fast Congruence Closure Algorithm

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# Outline



- Motivation
- Preliminaries

### 2 Congruence Closure and Decision Algorithm

- Congruence Closure Algorithm
- Decision Algorithm

### 3 Conclusive Remarks

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Motivation Preliminaries

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### Word Problem of Equational Logic

- Given: Finite set of equations *E*, and a single equation  $s \approx t$
- Question:  $E \models s \approx t$ , i.e., follows  $s \approx t$  from the equations in E?

#### Definition

 $E \models s \approx t$ , also written  $s \approx_E t$ , iff every model of E is a model of  $s \approx t$ . That is, for all algebras A we have:

$$\mathcal{A} \models E$$
 implies  $\mathcal{A} \models s \approx t$ 

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### Solving the Word Problem: Rewriting Systems

- Idea: Read *E* as rewriting rules, i.e., equations in *E* are only "applied" from left to right.
- If the resulting rewriting system  $\rightarrow_E$  can be proven terminating and confluent  $s \approx_E t$  is decidable by checking  $s \downarrow = t \downarrow$ .
- If it's not: Use Knuth-Bendix completion to create an equivalent rewriting system that can be proven terminating and confluent.
- Of course, this procedure does not always succeed!
- It does if all equations in *E* are ground!

Hence,  $s \approx_E t$  is decidable if *E* is ground.

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**Motivation** Preliminaries

### Solving the Word Problem: Congruence Closure

If E is ground, there is an alternative solution.

#### Theorem

Let  $\Sigma$  be a signature and E be a set of ground  $\Sigma$ -equations.  $\approx_E$  is the smallest congruence relation containing E.

If we restrict ourselves to the subterms in E, s and t this congruence closure is computable.

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### Congruence

#### Definition

Let  $\Sigma$  be a signature and  $\equiv$  an equivalence relation on  $T_{\Sigma}$ .  $\equiv$  is called a congruence relation if the following condition holds: If for some  $k \ge 0$  we have  $t_i \equiv s_i$  for all  $1 \le i \le k$  and  $f \in \Sigma^{(k)}$  then also  $f(t_1, \ldots, t_k) \equiv f(s_1, \ldots, s_k)$ .

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General idea:

- Represent the equivalence relation as its quotient set, i.e. the set of all equivalence classes.
- Operation find to get the equivalence class of a given element.
- Operation union to combine two equivalence classes.

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Two different approaches:

• Represent the quotient set as a lookup table mapping from elements to equivalence class names.

 $\rightsquigarrow$  find:  $\mathcal{O}(1)$ ; union:  $\mathcal{O}(n)$ .

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Motivation Preliminaries

### Equivalence Classes as Trees (1)

Equivalence class  $c_1 = \{v_0, v_1, v_2, v_3, v_4\}$ Equivalence class  $c_2 = \{u_0, u_1, u_2\}$ 



- operation find traverses up the tree until the root is reached.
- e.g.  $find(R, v_4) = find(R, v_2) = v_0$
- operation union takes two roots of some trees, and makes one of them child of the other.

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### Equivalence Classes as Trees (2)

E.g. union $(R, v_0, u_0)$  produces the following:



We now have only one class  $c = c_1 \cup c_2 = \{v_0, v_1, v_2, v_3, v_4, u_0, u_1, u_2\}$  represented by the node  $v_0$ 

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### Terms as Digraphs

Terms will be interpreted as labelled graphs in the following.

#### Definition

Let  $\Sigma$  be a signature,  $t \in T_{\Sigma}$  and E a set of ground  $\Sigma$ -equations.

- (i) The labelled digraph  $G_t = (V_t, E_t, I_t)$ , where  $V_t = \mathcal{P}os(t)$ , l(p) = t(p) for all  $p \in V_t$ ,  $E_t = \{(p, p') \in V_t^2 | \exists i \in \mathbb{N}. p' = pi\}$  and for each node  $p \in V_t$  the set of successors of p is ordered by  $p1 < \cdots < pk$ , is called the graph of t. Note that  $G_t$  is a tree. By  $v_t \in V_t$  we denote the root of this tree.
- (ii) The graph  $G_E = \biguplus_{s \approx s'} G_s \uplus G_{s'}$  is called the graph of E.

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### Achieving Congruence

#### Definition

Let  $\Sigma$  be a signature,  $G = (V, E, I : V \to \Sigma)$  a labelled digraph, v a node in G,  $v_1 < \cdots < v_k$  its successors and  $R \in Eq_{G,C}$ . The  $\Sigma$ , *C*-signature of v w.r.t. R is the (k + 1)-tuple  $sig(R, v) = (I(v), find(R, v_1), \dots, find(R, v_k))$ .

Nodes having the same  $\Sigma$ , *C*-signature should be in the same equivalence class to achieve congruence!

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Congruence Closure Algorithm Decision Algorithm

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Congruence Closure Algorithm Decision Algorithm

### The Idea of the Algorithm

- Every term of the equations is interpreted as a graph.
- Every node is put into a singleton class.
- Roots of terms that are equal are put in the same equivalence class using union.
- Until no further changes can be derived the following is repeated:
  - Find nodes that have the same Σ, C-signature but are in different equivalence classes.
  - Combine the equivalence classes of these two nodes.

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# An Example (1)



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# An Example (1)



#### Singleton equivalence classes



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# An Example (2)



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# An Example (2)



#### Establish congruence



# An Example (2)



#### Establish congruence



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### How to Keep Track of Changes of Equivalence Classes?

- Maintain for each equivalence class c the set pred(R, c) of nodes that have a successor that is in c.
  - $\rightsquigarrow$  helps to find nodes that have to be checked for their
  - $\Sigma$ , *C*-signatures again
- Maintain a set *pending* of nodes that have to be checked for their  $\Sigma$ , C-signatures.
- Maintain a signature table  $\tau$ , that maps from  $\Sigma$ , *C*-signatures to equivalence classes that have a node having this  $\Sigma$ , *C*-signature.  $\rightsquigarrow$  helps to find equivalence classes that have to be merged.
- Maintain a set *combine* of pairs of equivalence classes that have to be merged.

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Congruence Closure Algorithm Decision Algorithm

### The Algorithm

- 1: **input**: set *E* of ground  $\Sigma$ -equations
- 2:  $\tau \leftarrow \varepsilon$   $\triangleright$  Initialise  $\Sigma$ , *C*-signature table  $\tau$  as empty
- 3: set R s.t. find(R, v) = v for all  $v \in V_E$ .
- 4: for  $s \approx t \in E$  do  $\triangleright$  Impose the desired equalities.
- 5:  $R \leftarrow union(R, v_s, v_t)$
- 6: end for
- 7: pending  $\leftarrow V_E$
- 8: while pending  $\neq \emptyset$  do
- 9: combine  $\leftarrow \emptyset$
- 10: CHECKSIGNATURE( $R, \tau$ , pending, combine)
- 11: *pending*  $\leftarrow \emptyset$
- 12: COMBINE( $R, \tau$ , pending, combine)
- 13: end while
- 14: output: au

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Congruence Closure Algorithm Decision Algorithm

### The CHECKSIGNATURE Subprocedure

- 1: **procedure** CHECKSIGNATURE( $R, \tau$ , pending, combine)
- 2: for  $v \in pending$  do
- 3: if  $\tau(sig(v)) = \bot$  then

$$\tau \leftarrow \tau[\mathsf{sig}(\mathsf{v}) \mapsto \mathsf{find}(\mathsf{R},\mathsf{v})]$$

- 5: else if  $find(R, v) \neq \tau(sig(v))$  then
  - $\textit{combine} \gets \textit{combine} \cup \{(\textit{find}(R, v), \tau(\textit{sig}(v)))\}$
- 7: end if
- 8: end for

4:

6.

9: end procedure

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### The COMBINE Subprocedure

1:	<b>procedure</b> COMBINE( $R, \tau$ , pending, combine)
2:	for $(e_1, e_2) \in combine$ do
3:	if both e1 and e2 are used in R then
4:	if weight $(R, e_1) <  ext{weight}(R, e_2)$ then
5:	$(e_1,e_2) \leftarrow (e_2,e_1)$
6:	end if
7:	for $u \in pred(R, e_2)$ do
8:	$ au \leftarrow  au \setminus sig(u)$
9:	$pending \leftarrow pending \cup \{u\}$
10:	end for
11:	$R \leftarrow union(R, e_1, e_2)$
12:	end if
13:	end for
14:	end procedure

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### Using the signature table to decide equations

- Output of the congruence closure algorithm is the final signature table  $\tau.$
- Equivalence class of a term can be recursively computed using the signature table.
- If a Σ, C-signature s is computed that is not considered in τ, s is put into τ mapping it to a fresh equivalence class name from C.
- Therefore  $\tau$  is transformed beforehand such that equivalence class names are integers.

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Congruence Closure Algorithm Decision Algorithm

### The Algorithm

- 1: input: ground  $\Sigma$ -equation  $s \approx t$ ,  $\Sigma$ , C-signature table au
- 2: **output**: true if CLASS(s) = CLASS(t); otherwise false

3: function 
$$CLASS(t = f(t_1, \ldots, t_k) \in T_{\Sigma})$$

4: **for** 
$$i \in \{1, ..., k\}$$
 **do**

5: 
$$c_i = \text{CLASS}(t_i)$$

#### 6: end for

7: **if** 
$$\tau((f, c_1, \dots, c_k)) = \bot$$
 **then**  
8: take some  $c \in C \setminus dom(\tau)$ 

9: 
$$\tau \leftarrow \tau[(f, c_1, \ldots, c_k) \mapsto c]$$

10: else

11: 
$$c \leftarrow \tau((f, c_1, \ldots, c_k))$$

- 12: end if
- 13: return c
- 14: end function

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# Complexity

- The congruence closure algorithm takes  $O(n \log n)$  time for input equations of an overall size of n.
- The decision algorithm takes  $\mathcal{O}(m)$  time for an input equation of size m.
- I.e., in particular the runtime of the decision algorithm is independent of the size of the equations defining the equational theory.

### Runtime Comparison to Downey et al.



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